

#### **Cover Memorandum**

#### **Diplomatic Immunity, JHPD Directive #413**

#### **Purpose of the Directive**

The purpose of this Directive is to provide guidance to members who encounter persons who possess or claim diplomatic immunity. When feasible, Johns Hopkins Police Department (JHPD) members will be notified when persons possessing diplomatic immunity are or will be on campus.

#### **Summary of Policy Requirements**

This Directive informs JHPD officers that they may intervene to any extent necessary with persons of any level of immunity in circumstances where the safety of members of the public is in imminent danger or it is apparent that a serious crime may otherwise be committed. The directive continues by explaining the differences between various levels of immunity and who has such immunity – including full diplomatic immunity, limited criminal immunity, and those with no immunity – and provides a reference chart with details and relevant phone numbers in the appendices.

The Directive requires that JHPD officers thoroughly document all interactions with persons claiming any level of immunity and that those are forwarded to the U.S. Department of State (or U.S. Mission to the United Nations, if applicable).

The Directive explains that members shall verify a person's diplomatic status based on their identification card issued by the U.S. Department of State and by contacting the State Department to confirm. The Directive provides helpful information about understanding immunity based on license plates, but the person's status must be confirmed by the U.S. Department of State.

The Directive details JHPD officer and supervisor duties for interactions with persons who have or claim to have a degree of diplomatic immunity. The directive then details procedures for addressing traffic and parking offenses committed by diplomats. Lastly, the directive points the reader to JHPD Directive #414, Non-Citizen Interactions, for consular notification procedures.

#### **Blueprint for the Policy Development Process**

The draft JHPD policies (hereinafter referred to as "directives") shared for community feedback are based on examples of 21st century best practices in public safety policy, identified through extensive benchmarking of university and municipal law enforcement agencies across the nation. Taken together, they represent a comprehensively progressive approach to policing that prioritizes equity, transparency, accountability, and community-based public safety strategies.

The JHPD's draft directives embody approaches that community advocates and leading experts have championed locally and in law enforcement reform efforts across the nation. The draft directives have

also been developed based on input received through robust community engagement in prior phases of JHPD development, including suggestions received in the legislative process as well as last fall's Memorandum of Understanding (MOU) public comment period and feedback opportunities.

In addition, the directives were drafted to exceed the minimum requirements of the Constitution and laws of the United States and the State of Maryland, to align with the Community Safety and Strengthening Act (CSSA) and to fulfill the requirements of the MOU between the Johns Hopkins University and the Baltimore Police Department. The Hopkins community and our neighbors throughout Baltimore can help improve and strengthen these directives further through their feedback and input.

Material that was considered in the drafting of the Directive and Procedure Manual, include:

a. **Publicly available policies from municipal police departments that have undergone substantial reform efforts,** including: the New Orleans Police Department; Seattle Police Department; Portland Police Department; Detroit Police Department; Ferguson Police Department; and Baltimore Police Department;

b. National guidance on best practices and model policies from criminal justice reform efforts, social science research centers, and civil rights organizations, including: the Leadership Conference on Civil and Human Rights; American Civil Liberties Union (ACLU), including the ACLU of Massachusetts's "Racially Just Policing: Model Policies for Colleges and Universities"; the International Association of Chiefs of Police (IACP); the Police Executive Research Forum (PERF); U.S. Department of Justice Office of Community Oriented Policing Services (COPS Office); The Justice Collaboratory (The JC) at Yale University Law School; and The Center for Innovation in Community Safety (CICS) at Georgetown Law School.

c. National and local higher education institutions that are based in comparable environments and make policies publicly available, including: Carnegie Mellon University; Morgan State University; Towson University; University of Chicago; University of Cincinnati; University of Maryland, Baltimore County; University of Pennsylvania; and Yale University.

To ensure that the proposed directives captured national best practices in community-focused public safety services, the development team collaborated with independent experts from two organizations: National Policing Institute (the Institute), a non-profit dedicated to advancing excellence in policing through research and innovation, and 21CP Solutions, an expert consulting team of former law enforcement personnel, academics, civil rights lawyers, and community leaders dedicated to advancing safe, fair, equitable, and inclusive public safety solutions. Each directive was reviewed by experts selected by both organizations, who provided feedback, suggestions, and edits that were fully incorporated into the current draft.

Finally, individuals and organizations representing the diversity of the Johns Hopkins University community provided feedback to ensure the policies and procedures reflect and respond to the values of our institution and to our community's public safety service needs.

Now they are available for your review. Johns Hopkins is committed to adopting, incorporating, or otherwise reflecting recommended changes and feedback in the final version of policies so long as feedback is aligned with our values and commitments, permissible within legal parameters, and supported by national best practices for community policing and public safety.



#### **POLICE DEPARTMENT**

# DIPLOMATIC IMMUNITY

#### OPERATIONAL PROCEDURE #413

Responsible Executive: Chief of Police Responsible Office: Vice President for Public Safety Approved by: Dr. Branville G. Bard, Jr. Issued: [full date] Revised: [full date]

# Table of Contents

POLICY STATEMENT	 
WHO IS GOVERNED BY THIS POLICY	 2
PURPOSE	 2
DEFINITIONS	 2
PROCEDURES	3
POLICY ENFORCEMENT	8
RELATED RESOURCES	9
CONTACTS	 9

# **Policy Statement**

Diplomatic immunity is a principle of international law by which certain foreign government officials are not subject to the jurisdiction of local courts and authorities for both their official and largely personal activities. Diplomatic immunity is not intended as a license for persons to ignore the law and purposely avoid accountability for their actions. The purpose of these privileges and immunities is not to benefit individuals but rather to ensure the efficient and effective performance of their official missions on behalf of their governments.

Because Johns Hopkins University (JHU) is an institution with an international scope, various types of foreign government officials may spend time as part of or with the JHU community. It shall be the policy of the Johns Hopkins University Police Department (JHPD) to understand and respect the rights of those persons afforded varying degrees of immunity by government agencies.

Whatever the suspected offense or circumstances surrounding contact with a person with diplomatic immunity, JHPD members should keep in mind that such persons are official representatives of foreign governments who are to be accorded the maximum degree of respect

possible under the circumstances. Police handling of incidents in this country may have a direct effect on the treatment of US. diplomatic or consular personnel abroad.

# Who is Governed by this Policy

All personnel, including sworn, non-sworn and contractual or voluntary persons in service with the JHPD are governed by this Directive.

## Purpose

The purpose of this Directive is to provide guidance to members who encounter persons who possess or claim diplomatic immunity. When feasible, JHPD members will be notified when persons possessing diplomatic immunity are or will be on campus.

#### **Definitions**

Career Consular Officer:	Career consular officers are those members of consular posts who are recognized by both the sending and the host country as fully authorized to perform the broad array of formal consular functions. They have only official acts or functional immunity in respect of both criminal and civil matters, and their personal inviolability is quite limited.
Diplomatic Agent:	The term for ambassadors and the other diplomatic officers who generally have the function of dealing directly with host country officials. This category enjoys the highest degree of immunity.
Diplomatic Missions: Inviolability:	Diplomatic missions are traditionally the principal communication link between the country that sends them and the host country. Accordingly, the staffs of diplomatic missions (embassies) are afforded the highest level of privileges and immunities in the host country so that they may effectively perform their important duties. Concept embodied in international law that generally precludes law
Inviolability.	enforcement officials from handcuffing, arresting, or detaining certain foreign diplomatic and consular officials in any form and forbids U.S. authorities from entering the residences, automobiles, or other property of protected persons. Where public safety is in imminent danger or it is apparent that a felony or crime of violence may otherwise be committed, police authorities may intervene to the extent necessary to halt such activity or defend themselves or others from personal harm.
Member:	All members of the JHPD, including employees, officers, and volunteers, unless the term is otherwise qualified (e.g., member of the public, member of the Baltimore Police Department, etc.).
Members of the administrative and technical staff:	Members of diplomatic missions, including those who support the activities of diplomatic agents. This category includes secretaries, certain clerical personnel, office managers, and certain professional

	security personnel. These persons enjoy privileges and immunities that in some respects are less than diplomatic agents.
Members of the service staff:	Members of diplomatic missions who perform tasks such as driving, cleaning, and/or grounds maintenance. These persons are afforded significantly less in the way of privileges and immunities.
Officer:	All sworn police officers, at any rank, as defined by MD Code, Public Safety, § 3-201, in service with the JHPD.

## Procedures

## I. <u>General</u>

- A. Law enforcement officers may intervene to any extent necessary with persons of any level of immunity in circumstances where the safety of members of the public is in imminent danger or it is apparent that a serious crime may otherwise be committed. This includes the authority for officers to defend themselves and others from personal harm.
- **B.** A reference chart containing guidance for JHPD officers encountering individuals with varying levels of diplomatic or consular privileges can be found in Appendix A.
- **C.** JHPD officers shall call a supervisor to assist if/when they identify that they are, or likely are, interacting with a person who does have, or likely has, some level of diplomatic immunity.
- **D.** Full diplomatic immunity means that those persons, their residences, vehicles, belongings, and papers cannot be searched. They cannot be arrested or detained and are not required to give evidence as witnesses. Those persons with full diplomatic immunity are:
  - Diplomatic agents,
  - Administrative and technical mission staff,
  - Spouses of the persons in the above bullet points, and
  - Dependent children of the persons in the above bullet points, until the age of 21 or 23 if fulltime students.
  - If a person suspected of a crime enjoys personal inviolability, they shall not be handcuffed by JHPD members, **except when that person poses an immediate threat to safety**. Once all pertinent information is obtained, that person must be released by the officer.
  - Vehicles bearing diplomatic registration plates or owned by persons with full diplomatic immunity cannot be searched by officers unless the vehicles are verified to have been stolen or to have been used by unauthorized persons in the commission of crimes.

- **E.** Limited criminal immunity prevents convictions if judges find that criminal acts occurred in the course of official duties. Persons with limited criminal immunity enjoy limited or no personal inviolability, meaning they *may* be detained or arrested, their persons and belongings searched by officers, and they may be required to give evidence as witnesses. Those persons with limited criminal immunity are:
  - Service staff such as chauffeurs, drivers, mission servants, and domestic mission employees, and
  - Career consular officers. These persons can only be detained or arrested by officers in the case of a felony and pursuant to a warrant.
- **F.** Persons who have no criminal or diplomatic immunity include:
  - Families of service staff,
  - Families of consular officers,
  - Consular service staff or their families,
  - Citizens of the United States who are employed by a consulate, and
  - Private servants of any non-citizen.
- **G.** Diplomatic pouches:
  - Are containers of any size used to transport official communications or equipment needed for communication,
  - Are required to be clearly marked as such, and
  - Will not be opened by JHPD officers in any circumstance. A JHPD officer who has probable cause to believe that a diplomatic or consular pouch is being used in furtherance of a crime will promptly report this to a supervisor who will report the information to the U.S. State Department Bureau of Diplomatic Security.
- H. Members shall document all contacts and incidents involving claims of any level of immunity, whether *bona fide* or not, in appropriate reports. A copy of the incident report must be faxed or emailed as soon as possible to the U.S. Department of State or to the U.S. Mission to the United Nations (UN) in cases involving the UN community. The Records Management supervisor is responsible for ensuring related reports are forwarded to the appropriate federal agency.

# II. <u>Identification</u>

**A.** The burden of proof falls upon those claiming any level of diplomatic immunity to display valid diplomatic credentials.

- **B.** In all cases, including those in which the suspect provides an identification card issued by the U.S. Department of State, the officer should verify the suspect's status by contacting the U.S. Department of State or the U.S. Mission to the UN (if applicable). Once the status is verified, the officer shall prepare their report, fully describing the details and circumstances of the incident in accordance with normal procedures.
- **C.** Only an identification card issued by the U.S. Department of State, Office of Protocol, or by the U.S. Mission to the UN may be used as valid identification for diplomats and consular officials.
  - On the back of these identification cards is an explanation of the immunity to which the official is entitled and telephone numbers to call to verify status.
- **D.** Foreign diplomatic passports, U.S. diplomatic visas, tax exemption cards, vehicle registration, license plates, and driver licenses (including those issued by the U.S. Department of State) shall not be used by officers to determine whether an individual enjoys immunity.
- **E.** License plates issued by the U.S. Department of State are coded to reflect the degree of immunity which the registered owner of the vehicle enjoys:
  - Plates with a "D" prefix or suffix are issued to diplomatic missions and those members who hold diplomatic rank.
  - Plates with a "C" prefix are issued to consular missions and career consular officers.
  - Plates with a "S" prefix are issued to the administrative and technical staff at diplomatic missions and consular employees at consular missions.
  - Plates with an "A" prefix or suffix are issued to official vehicles of the Secretariates of the UN and the Organization of American States and the personally owned vehicles of those staff members who have diplomatic status.
  - Plates with an "E" prefix are issued to other foreign missions that are not included in the above categories and their eligible employees.
  - U.S. Department of State issued license plates are meant to help officers identify vehicles belonging to persons who may enjoy some degree of immunity. However, in no circumstance should the license plate alone be used to verify the status of a person claiming immunity.
  - Such license plates are not the property of a diplomat or a diplomatic mission and always remain the property of the U.S. Department of State. As such, they must be surrendered to the U.S. Department of State when recalled. Similarly, these license plates may not be transferred from the

vehicle to which they were assigned by the U.S. Department of State without the authorization of its Office of Foreign Missions.

- **F.** If attempting to detain a person who claims diplomatic immunity but is unable to produce valid identification, the officer shall detain the person, inform them of the reason for the detention and that they will be held in custody for the time it requires to confirm their identity and status, and hold them until the U.S. Department of State can confirm the proper identity.
- **G.** Once their identity and diplomatic status is confirmed, officers shall immediately release them from custody.

## III. <u>Responding Member Duties</u>

Duties and responsibilities of officers responding to calls involving persons with diplomatic immunity include, but are not limited to:

- A. Identifying and/or verifying through the U.S. Department of State the categories of immunity for which the persons are entitled,
- **B.** Do so by contacting the Department of State Diplomatic Security Command Center at 571-345-3146 or 866-217-2089 or another current number and informing the center of the circumstances (see Appendices A and B),
- C. Respecting the person's immunity to the degree they are entitled,
- **D.** Following instructions given by the U.S. Department of State representative regarding any further detention, arrest, and/or release. It may be that the JHPD officer is required to release the person, even if they have committed a crime,
- **E.** Ensuring supervisors are notified of all incidents involving persons who claim or have diplomatic immunity, and
- **F.** Completing JHPD reports on all incidents involving persons who claim or have diplomatic immunity. JHPD officers will record all pertinent information from the I.D. card and details and circumstances of the incident in the Incident Report.

## IV. <u>Supervisor Duties</u>

Duties and responsibilities of JHPD supervisors becoming aware of calls involving persons with diplomatic immunity include, but are not limited to:

- A. Responding to incident locations,
- **B.** Ensuring related reports are completed,

- C. Ensuring a JHPD commander is notified of all incidents, except for parking citations and traffic stops for minor violations involving persons with immunity, and
- **D.** Ensuring the U.S. Department of State is contacted for incidents involving persons with diplomatic immunity. The Department of State Diplomatic Security Command Center can be contacted at 571-345-3146 or 866-217-2089 or another current number and informing the center of the circumstances (see Appendices A and B).
- V. <u>Diplomat Traffic & Parking Offenses</u> (Commission on Accreditation for Law Enforcement Agencies (CALEA) 61.1.3.d)
  - A. JHPD officers may issue traffic citations or warnings to drivers who have diplomatic immunity. Issuing citations does not constitute arrests or detention of diplomats.
  - **B.** Diplomats do not have to sign citations and cannot be arrested for refusal to sign citations.
    - When diplomats refuse to sign citations, officers will write "Diplomat -Refused to Sign" on the defendant's signature line and give the defendant's copy to the diplomat.
  - **C.** If diplomats refuse to accept their copies, officers will submit those copies with all other regularly submitted copies to their supervisor. The Records Management supervisor shall ensure these copies are mailed to the State Department's Diplomatic Motor Vehicle Office (DMVO).
  - **D.** Members issuing citations to persons with immunity will promptly email copies of the citations to the Office of Foreign Missions at OFMImmunity@state.gov, along with related documents.
  - **E.** Persons with diplomatic immunity who are suspected of alcohol or CDS related driving violations will not be arrested and cannot be compelled to take any sobriety or otherwise mandatory tests.
    - Officers may offer these persons standard roadside sobriety tests, including Preliminary Breath Tests (PBTs).
    - Officers shall:
      - Not allow persons with immunity to continue driving. This is imposed to protect the safety of the diplomat and the public,

- Ensure the related vehicles are in safe locations, and
- o Make arrangements for the diplomat's removal from the location by either requesting taxi services, transporting the person to their residence, allowing the person to contact a friend or relative to respond and drive them, contacting the diplomat's embassies or consulate for assistance, or contacting the Department of State's Diplomatic Security Command Center for assistance.
- DR-15 procedures do not apply.
- Officers may issue a traffic citation to the person for DUI/DWI and any other related traffic charges, but no physical arrest can be made.
- **F.** Persons driving who are entitled to diplomatic immunity should not be restrained except when necessary to prevent injury to themselves or others. Force shall not be used except when necessary to prevent injury to the person or others, and then only the absolute minimum shall be applied.
- G. Parking citations may be issued to vehicles displaying diplomatic license plates.
- **H.** Vehicles bearing diplomatic tags will not be impounded or "booted" but may be towed a distance necessary to remove them from obstructing traffic or endangering public safety.
- I. If diplomats' vehicles are suspected of being stolen or used in the commission of crimes, occupants may be required to present vehicle documentation to permit police verification of vehicle status through computer checks.
  - Vehicles verified to have been stolen or to have been used by unauthorized persons in the commission of crimes may be searched.

## VI. <u>Consular Notification</u>

- A. Members and supervisors shall follow the procedures as outlined in JHPD Directive #414, Non-Citizen Interactions when:
  - A non-citizen is arrested or detained, and when
  - A non-citizen dies, is seriously injured or becomes seriously ill.

Enforcement	JHPD managers and supervisors are responsible for enforcing this Directive.
Reporting	Suspected violations of this Directive should be reported to the Public
Violations	Safety Accountability Unit.

### **Policy Enforcement**

## **Related Resources**

#### **University Policies and Documents**

Chivership I oncles and Documents
Operational Procedure #409, Field Interviews, Investigative Stops, & Pat-Downs
Operational Procedure #411, Search & Seizure
Operational Procedure #414, Non-Citizen Interactions
Operational Procedure #423, Arrest Warrants, Attachments and Criminal Process
Operational Procedure #424, Arrests & Alternatives to Arrest
Operational Procedure #442, Traffic Control & Enforcement
Operational Procedure #446, Vehicle Towing & Storage
Operational Procedure #447, Parking Enforcement
External Documentation

International Association of Chiefs of Police Model Policy, "Diplomatic Immunity."

**Police Department Forms and Systems** 

## **Contacts**

Subject Matter	Office Name	Telephone Number	E-mail/Web Address
Policy Clarification and Interpretation			

#### **APPENDIX A**



# DIPLOMATIC AND CONSULAR PRIVILEGES AND IMMUNITIES FROM CRIMINAL JURISDICTION LAW ENFORCEMENT ASPECTS SUMMARY

	Category	Arrested or Detained?	Enter Residence Subject to Ordinary Procedures?	lssued Traffic Citation?	Subpoenaed as Witness?	Prosecuted?	Recognized Family Member?
ial Sns	International Organization Staff <sup>3</sup>	Yes	Yes	Yes	No—for official acts. Yes, in all other cases.	Official acts immunit Consult Dept. of Stat	
International Organizations	Diplomatic-Level Staff of Missions to International Organizations	No <sup>1</sup>	No	Yes	No	No	Same as sponsor (full immunity and inviolability).
ĒŞ	Support Staff of Missions to International Organizations	Yes	Yes	Yes	No—for official acts. Yes, in all other cases.	Official acts immunit Consult Dept. of Stat	I INO IMPRIMITY OF INVIOLABILITY I
v	Diplomatic Agent	No¹	No	Yes	No	No	Same as sponsor (full immunity and inviolability).
Diplomatic	Member of Administrative and Technical Staff	No¹	No	Yes	No	No	Same as sponsor (full immunity and inviolability).
	Service Staff <sup>2</sup>	Yes	Yes	Yes	Yes	Official acts immunit Consult Dept. of Stat	Source in the second
÷	Career Consular Officers <sup>2</sup>	No, except in the case of a felony and pursuant to a warrant.	Yes <sup>4</sup>	Yes	No—for official acts. Testimony may not be compelled in a case.	ny Official acts immunit Consult Dept. of Stat	
Consular	Honorary Consular Officers	Yes	Yes	Yes	No—for official acts. Yes, in all other cases.	Official acts immunit Consult Dept. of Stat	No immunity or inviolability
	Consular Employees <sup>2</sup>	Yes	Yes	Yes	No—for official acts. Yes, in all other cases.	Official acts immunit Consult Dept. of Stat	Soummunity or inviolability
o	TECRO Designated Employee	No	No	Yes	No	No	Immunity from criminal jurisdiction, arrest, and detention.
TECRO/TECO	TECO Head/Deputy Head	No, except in the case of a felony and pursuant to a warrant.	Yes	Yes	No—for official acts. Yes, in all other cases.	Official acts immunit Consult AIT.	<sup>.y,</sup> No immunity or inviolability.
E	TECO Designated Employee	Yes	Yes	Yes	No—for official acts. Yes, in all other cases.	Official acts immunit Consult AIT.	<sup>y.</sup> No immunity or inviolability.
<ol> <li>Reasonable constraints, however, may be applied in emergency circumstances involving self-defense, public safety, or the prevention of serious criminal acts.</li> <li>This table presents general rules. The employees of certain foreign countries may enjoy higher levels of privileges and immunities on the basis of special bilateral agreements.</li> <li>A small number of senior officers are entitled to be treated identically to "diplomatic agents."</li> <li>Note that consular residences are sometimes located within the official consular premises. In such cases, only the official office space is protected from police entry.</li> </ol>			ecurity Comm	and Center Consular Notification	n In	imunity Issues	
		Direct questi nay enjoy Diplomatic S ements. Call: 57	Diplomatic Security Command Center. procedur detention Call: 571-345-3146 Toll free: 1-866-217-2089 Business		the arrest or national. Bu At 2-485-7703 To	ontact the Office of Foreign Missions: Isiness hours: 202-895–3521 iter-hours: 571-345-3146 Il-free: 1- 866-217-2089	
		/ wundone z+			After-hours: 202-647-1512 Email: consnot@state.gov		

Revised August 2018 0

#### **APPENDIX B**

#### **USEFUL TELEPHONE NUMBERS**

ALL FOREIGN MISSIONS AND THEIR PERSONNEL (Other than United Nations or TECRO/TECO)		
During Business Hours (Monday-Friday, 8am-5pm EST)		
To verify immunity, call the Office of Foreign Missions (OFM):	202-895-3521	
Send Reports/Citations to OFM:	OFMDMVEnforcement@state.gov 202-895-3646 (Fax)	
For 24/7 Hour Response, Call Diplomatic Security Command Center	(571)-345-3146 (866)-217-2089	

#### MISSIONS AND SECRETARIAT TO THE UNITED NATIONS AND THEIR PERSONNEL

During Business Hours (Monday-Friday, 9am-5pm EST)		
To verify immunity and for law enforcement inquiries:	212-415-4168 212-415-4407 212-415-4300	
Diplomatic motor vehicle registration and driver's licensing inquiries:	202-895-3521 OFMDMVInfo@State.gov	
After Hours		
USUN-Communications Section	212-415-4444	

#### **TECRO/TECO AND THEIR PERSONNEL**

During	Business Hou	ırs (Monda	w-Friday	9am-5nn	n FST)
During	Dubini 655 1100				

To verify immunity and for law enforcement inquiries:	703-525-8474
Diplomatic motor vehicle registration	202-895-3521
and driver's licensing inquiries:	OFMDMVInfo@state.gov

..........