

Intrinsic electrophilic properties of nucleosides: Photoelectron spectroscopy of their parent anions

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The nucleoside parent anions 2'-deoxythymidine⁻, 2'-deoxycytidine⁻, 2'-deoxyadenosine⁻, uridine⁻, cytidine⁻, adenosine⁻, and guanosine⁻ were generated in a novel source, employing a combination of infrared desorption, electron photoemission, and a gas jet expansion. Once mass selected, the anion photoelectron spectrum of each of these was recorded. In the three cases in which comparisons were possible, the vertical detachment energies and likely adiabatic electron affinities extracted from these spectra agreed well with the values calculated both by Richardson *et al.* [J. Am. Chem. Soc. **126**, 4404 (2004)] and by Li *et al.* [Radiat. Res. **165**, 721 (2006)]. Through the combination of our experimental results and their theoretical calculations, several implications emerge. (1) With the possible exception of dG⁻, the parent anions of nucleosides exist, and they are stable. (2) These nucleoside anions are valence anions, and in most cases the negative charge is closely associated with the nucleobase moiety. (3) The nucleoside parent anions we have generated and studied are the negative ions of canonical, neutral nucleosides, similar to those found in DNA. © 2007 American Institute of Physics. [DOI: 10.1063/1.2774985]

INTRODUCTION

It has long been known that ionizing radiation can cause genetic damage. However, the high energy particles and photons which constitute ionizing radiation are not themselves directly responsible for damaging DNA and thus causing mutations.¹ Instead, the radicals and electrons formed by the interaction of ionizing radiation with matter (especially water) are the principal instigators of DNA and RNA damage.^{1,2} The radicals cause damage through chemical reactions. The electrons, on the other hand, can induce further ionization, producing even more radicals and electrons. Upon losing energy and thermalizing, some electrons also form highly reactive solvated electrons which themselves sit at the headwaters of further chemical reactions. Ultimately, however, the most prevalent species generated by ionizing radiation are secondary electrons, produced by cascades of energy-losing ionization and electron scattering events, and of these, most have energies below ~10 eV.

Nevertheless, despite their abundance, low energy electrons have not been considered to be important actors in radiation damage to DNA until relatively recently. That began to change with the seminal work of Sanche and co-workers³⁻⁵ who demonstrated in electron impact experiments on thin films of plasmid DNA that single strand breaks occur in DNA due to electrons with energies below ~4 eV and that double strand breaks occur at electron energies as low as ~10 eV. This is astonishing given that much of this damage occurs at energies significantly below the ionization threshold of DNA. The resonant character of the experimental evidence points to these processes occurring through the formation of transient anions on the subunits of DNA, quite likely on the nucleic acid bases themselves. While the mechanism by which this leads to strand breaks is still under debate, there may well be a coupling between temporary

(transient) anions and their stable anions, whereby the former serve as stepping stones to the latter which in turn are involved in strand breaks.⁶⁻⁸ Thus, the anions of DNA's subunits appear to play a significant role in mutagenesis.

Electron-nucleobase interactions have been studied extensively. In gas phase studies, temporary anions of nucleobases have been studied by electron transmission spectroscopy.⁹ Dissociative electron attachment resulting from the interaction of gaseous nucleobases and free electrons has been studied as a function of electron energy,¹⁰⁻¹² and anion photoelectron spectroscopy has probed deprotonated base anions.^{13,14} Among parent anions of canonical nucleobases, most have been found by anion photoelectron spectroscopy¹⁵⁻¹⁷ and Rydberg electron transfer¹⁸⁻²⁰ to be ground state, dipole bound states, although valence anions of canonical uracil²¹ and of rare tautomers of all five nucleobases^{8,22,23} have also been observed and studied. In the condensed phase, parent valence anions of nucleobases have been studied by electron spin resonance spectroscopy.²⁴⁻²⁶ In addition to experimental studies, theoretical work also abounds.^{8,27-33} In fact, theory had predicted the viability of dipole bound, nucleobase anions, and it was that prediction which motivated the early work on gas phase, intact (parent), nucleic acid base anions.³⁴

Among electron interactions with nucleosides and nucleotides, dissociative electron attachment studies with both gaseous thymidine and uridine and with thin films of thymidine constitute the main experimental work reported.³⁵⁻³⁸ Theoretical work is quite prevalent, however, with much of it utilizing electron-nucleotide interactions to model proposed mechanisms by which low energy electrons induce single strand breaks.^{6,8,39-49} In the condensed phase, electron spin resonance studies have also been conducted on the radical anions of nucleosides and nucleotides.^{26,50}

While anions of nucleosides and nucleotides can exist in condensed phase environments, there have been no reports to date of gas phase, parent valence anions of nucleosides or nucleotides in the experimental literature. This is not necessarily surprising when one considers that, except for the case of uracil, the parent valence anions of the canonical tautomers of the nucleic acid bases have not been seen in mass spectra, i.e., they have not been shown to be stable in isolation. Nevertheless, it seemed to us that both nucleosides and nucleotides should form stable, parent, valence anions in isolation. After all, if even marginal solvation can stabilize the valence anions of nucleobases [as it does in the cases of $\text{uracil}^-(\text{Xe})_1$, $\text{uracil}^-(\text{water})_1$, $\text{thymine}^-(\text{water})_1$, $\text{cytosine}^-(\text{water})_1$, and $\text{adenine}^-(\text{water})_1$],^{16,17} then surely the sugar moieties within nucleosides and nucleotides should be able to stabilize them (in these cases through chemical bonding rather than solvation, however).

Here, we report the formation of gas phase, parent (intact), valence anions of several nucleosides, i.e., they do indeed exist as stable anions. These were generated in a novel source, identified by mass spectrometry and characterized by anion photoelectron spectroscopy. Our goal was to explore the intrinsic electrophilic properties of isolated, intact nucleoside molecules by attaching electrons to them and characterizing the resultant parent negative ions. This necessitated forming them under conditions where third body cooling collisions could carry away excess energy and stabilize them. This approach is in contrast to free electron attachment under otherwise collisionless conditions as is done in electron-vapor dissociative attachment studies. There, the transient anion has little choice but to dissociate into neutral and anionic fragments or to autodetach its electron. Since dissociative attachment processes are prevalent in radiobiology, this is an important method for probing DNA damage pathways. We see our studies of parent nucleoside anions as complementary to the dissociative attachment work with nucleosides.

EXPERIMENT

One should not overlook the importance of sources for forming anions of biomolecules. In particular, preparing parent anions of most biomolecules is a vexing problem. If one could simply vaporize the biomolecule of interest and expand it in a cooling jet of inert gas while at the same time injecting electrons into the mix (through any of several methods), then preparing parent anions of biomolecules which have positive adiabatic electron affinities would be a relatively standard experimental procedure. However, since most biomolecules of significant size tend to be involatile and easily decompose upon heating, the initial thermal evaporation step described above is of limited utility for bringing them into the gas phase. Even in cases in which the evaporation of intact biomolecules is partly successful, these are often accompanied by undesired decomposition products. Furthermore, modern methods such as electrospray and conventional matrix assisted laser desorption ionization (MALDI) do not solve this problem. Parent anion formation is relatively rare via electrospray sources. Negative ions generated

by electrospray tend to have lost a hydrogen atom, i.e., they are deprotonated neutral species. Furthermore, many of them are also multiply negatively charged. While these are very important species in biological systems, they are not the parent anionic species that we seek to study here. Conventional MALDI often also has many of the same problems, leading to anion products which can be viewed as anionic fragments of parent anions. In short, there were no reliable methods available for forming parent anions of most biomolecules.

To solve this problem, we have developed a novel source for forming parent anions of involatile molecules. The idea was to bring bursts of (1) gaseous neutral biomolecules, (2) low energy electrons, and (3) rapidly expanding inert gas atoms together at the right time and in the right place. To accomplish the first task, we utilized pulsed laser, infrared desorption. The work of de Vries *et al.*⁵¹ provided the most direct guidance for implementing infrared desorption of biomolecules. The second task was accomplished using pulsed laser, photoelectron emission. There, we relied on the work of Boesl *et al.*⁵² for guidance. Both of these techniques had been pioneered by Schlag *et al.*⁵³ The third task of supplying a collisionally cooling jet of helium was accomplished in a routine fashion, using a pulsed gas valve.

The geometric arrangement of this hybrid source is shown in Figs. 1(a) and 1(b). Figure 1(a) shows the source with a metal wire photoemitter, while Fig. 1(b) shows it with an yttria disk photoemitter. Infrared desorption was accomplished by directing an attenuated power beam of 1064 nm light (first harmonic frequency) from a pulsed Nd:YAG (yttrium aluminum garnet) laser onto a slowly moving, graphite bar which was thinly coated with the sample. Biomolecules were desorbed from the bar due to the absorption of infrared photons by the graphite and its ensuing ultrafast temperature rise. Coordinated with the IR pulses were pulses from a second Nd:YAG laser operated at its second harmonic frequency. In an earlier version of this source [Fig. 1(a)], we used metals having specific work functions as photoemitters.⁵⁴ In the present improved version, however, we often use yttrium oxide as the photoemitter. Its work function of ~ 2 eV is just below the photon energy of the second harmonic frequency of a Nd:YAG laser (2.33 eV), and this leads to the photoemission of rather low energy electrons, their attachment reducing the degree of undesired fragmentation. We learned of yttria as a photoemitter of electrons from the work of Nakajima *et al.*⁵⁵ Thus, by coordinating momentary “puffs” of desorbed molecules and low energy electrons with the firing of a helium jet, one can attach electrons and stabilize the resulting parent anion.

Upon generating the nucleoside parent anions of interest, they were next extracted into a linear time of flight mass spectrometer (mass resolution ≈ 600), mass selected, and photodetached with the third harmonic frequency (355 nm or 3.49 eV/photon) of another Nd:YAG laser. The resulting photodetached electrons were then energy analyzed with a magnetic bottle, electron energy analyzer having a resolution of ~ 50 meV at EKE = 1 eV. Photoelectron spectra were calibrated against the well known spectrum of Cu^- . Our anion photoelectron spectrometer has been described in detail previously.⁵⁶ Photodetachment is governed by the energy-

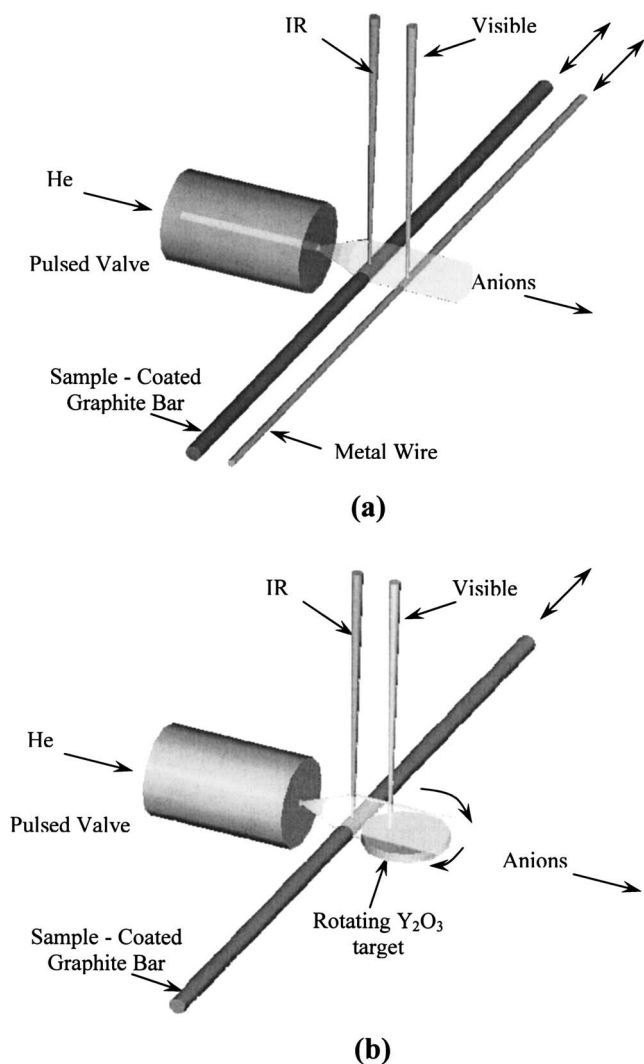


FIG. 1. Schematic drawings of our source for bringing parent anions of involatile molecules into the gas phase. It consists of a sample-coated graphite bar from which molecules are desorbed when irradiated by low intensity infrared pulses, a photoemitter from which electrons are emitted when irradiated by high intensity visible pulses, and a pulsed gas valve which provides a jet of inert gas. Two versions are shown, (a) with a low work function metal as a photoemitter and (b) with an yttria disk as a photoemitter.

conserving relationship, $h\nu = \text{EBE} + \text{EKE}$, where $h\nu$ is the photon energy, EBE is the electron binding energy, and EKE is the electron kinetic energy. Knowing the photon energy and measuring the electron kinetic energy, one determines the electron binding energies of the observed transitions.

RESULTS

Figure 2 shows the mass spectrum of the parent anion of cytidine. It is an example of the remarkably clean nucleoside anion mass spectra obtained with the source described above. Figure 3 presents the photoelectron spectra of the seven nucleoside parent anions that we studied. In each case, the spectral band (broad peak) in the EBE window between ~ 0.2 and 2.3 eV is the result of a vertical photodetachment transition from the ground vibronic state of the mass-selected, parent nucleoside anion to the ground vibronic state of its neutral counterpart. In the cases of the three purine-

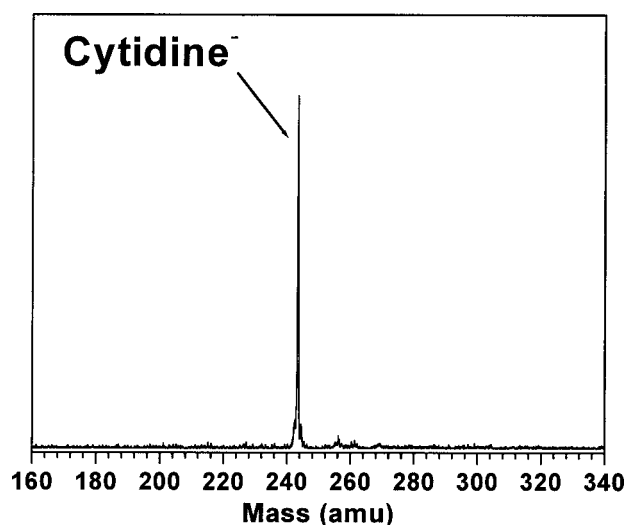


FIG. 2. A mass spectrum showing the cytidine parent anion. It was one of seven nucleoside parent anions generated by the source described in the text and shown schematically in Fig. 1.

based nucleoside anions, the rising photoelectron intensities at $\text{EBE} > 2.3$ eV are due to photodetachment transitions from the ground vibronic state of the nucleoside anion to the first excited vibronic state of its neutral counterpart. In the cases of the four pyrimidine-based nucleoside anions, however, the rising intensities at $\text{EBE} > 3.0$ eV may be due to ground state anion to first excited state neutral transitions, but they may also include contributions from very low kinetic energy photoelectrons (low EKE) which are difficult to fully eliminate from that region of the spectra.

In this paper, we will focus on the spectral bands in the EBE window between ~ 0.2 and 2.3 eV. The EBE values at the maximal photoelectron intensities of these bands correspond to the optimal Franck-Condon overlaps of vibrational wave functions during photodetachment transitions between ground state anions and the ground states of their corresponding neutrals. This energetic quantity is the vertical detachment energy (VDE). The energy difference between the lowest vibrational level of the ground electronic state of the anion and the lowest vibrational level of the ground electronic state of its corresponding neutral is the adiabatic electron affinity EA_a . While the VDE has a defined value in each spectrum, extracting a high-confidence EA_a value from a photoelectron spectrum requires the presence of resolved vibrational structure which is not exhibited in these bands. Nevertheless, some information about the EA_a value is available. When only the lowest vibrational level of the anion is populated and there is Franck-Condon overlap between the anion and its neutral, then the EBE value at the photoelectron intensity threshold is equal to the EA_a value. Since hot bands are not uncommon, however, the EA_a value often lies between the threshold and the VDE, but in any case, $\text{EA}_a \leq \text{VDE}$ always holds for stable anions. Thus, one can usually judge whether a theoretically predicted EA_a value is consistent with a measured anion photoelectron spectrum. Measured VDE values and estimated EA_a values for the nucleoside systems we have studied here are presented in Table I. These are the first experimental determinations of these quantities for nucleosides and their anions.

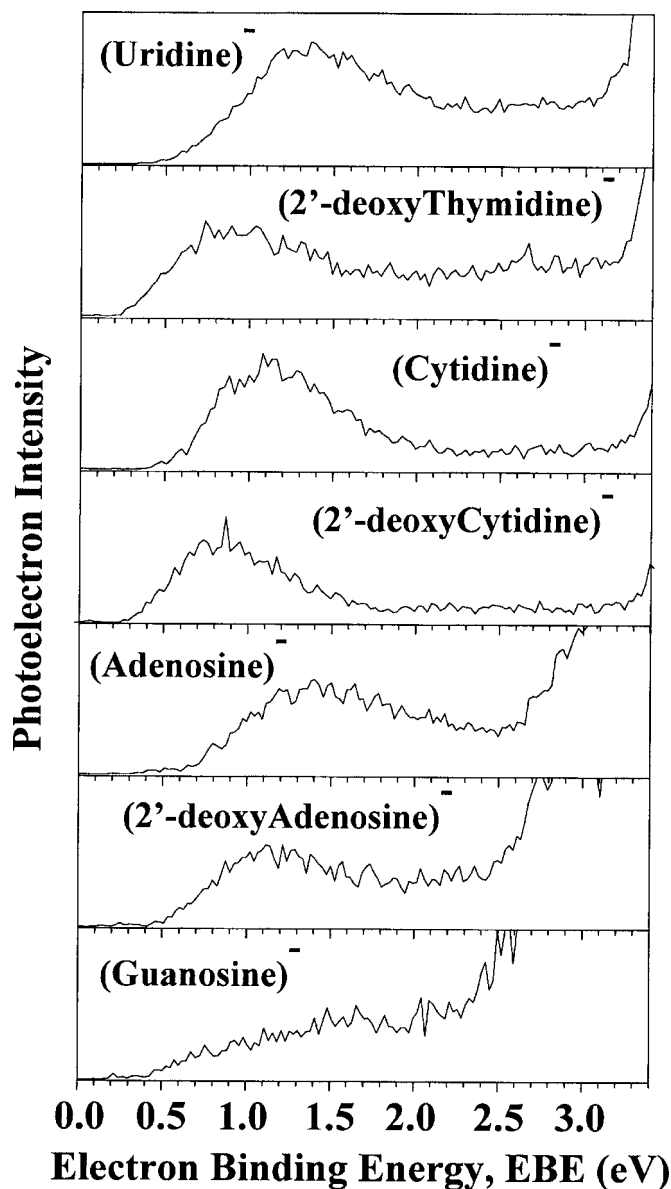


FIG. 3. The photoelectron spectra of the seven nucleoside parent anions studied in this work. These spectra were recorded with 3.49 eV photons.

DISCUSSION

We have measured the photoelectron spectra of three deoxynucleoside anions, i.e., 2'-deoxythymidine⁻ (dT⁻), 2'-deoxycytidine⁻ (dC⁻), and 2'-deoxyadenosine⁻ (dA⁻) plus four ribonucleoside anions, i.e., uridine⁻, cytidine⁻, adenosine⁻, and guanosine⁻; of these, four are pyrimidine based and three are purine based. While they all have strong similarities, they also have differences. Generally, there is a close resemblance between the photoelectron spectra of deoxynucleoside and ribonucleoside anions which share the same nucleobase moieties, e.g., the spectra of cytidine⁻ and deoxycytidine⁻ look very similar as do the spectra of adenosine⁻ and deoxyadenosine⁻. This is not surprising, given that they share almost all of the same components and essentially the same structures. Nevertheless, a given set of companion spectra is also shifted in energy relative to one another and, in fact, the VDE values of the deoxynucleoside anions are all lower than the VDE values of their correspond-

ing ribonucleoside anions by a few tenths of an eV. The addition of an oxygen atom to a deoxynucleoside anion to form its anionic ribonucleoside counterpart increases the VDE value of the latter. This ordering also appears to be true of their EA_a values.

There have been two theoretical studies of nucleoside anions which reported VDE and/or EA_a values. One of these was by Schaefer *et al.*,³⁹ and the other was by Sevilla *et al.*⁴⁰ Both limited the nucleosides' neutral configurations to those that exist in natural DNA, while allowing structures of their anions to optimize. Because of the focus on DNA, only deoxynucleoside neutral/anion systems were investigated. Also, both sets of calculations utilized similar theoretical methods, i.e., the density functional theory/B3LYP formalism. Both studies found the deoxynucleoside anions dT⁻, dC⁻, and dA⁻ to be valence anions with structural distortions relative to their neutral counterparts and with their excess electrons closely associated with their base moieties. They also both found dG⁻ to be different from the others, exhibiting significant diffuse, excess electron character.

Schaefer *et al.* reported zero point energy-corrected EA_a and VDE values for dT and dT⁻ of 0.44 and 0.94 eV, respectively, for dC and dC⁻ of 0.33 and 0.72 eV, respectively, for dA and dA⁻ of 0.06 and 0.91 eV, respectively, and for dG and dG⁻ of 0.09 and 0.05 eV, respectively. Sevilla *et al.*, whose work focused on base release induced by low energy electrons, reported zero point energy-corrected EA_a values for dT of 0.45 eV, for dC of 0.33 eV, and for dA (with and without internal H bonding) of -0.038 and -0.035 eV. The studies of Schaefer *et al.* and Sevilla *et al.* agree in regard to the EA_a values of dT and dC but differ (albeit only slightly in absolute terms) as to the EA_a value(s) of dA.

While Table I presents experimentally versus theoretically determined values of EA_a and VDE for all the species studied, Fig. 4 compares these theoretical predictions with our experimentally determined anion photoelectron spectra of dT⁻, dC⁻, and dA⁻. For the spectrum of dT⁻, the VDE value of Schaefer *et al.* matches our measured VDE nearly perfectly, and their EA_a value is located at a completely plausible point along the low EBE side of the spectral band. The same can be said for the EA_a value of Sevilla *et al.* For the spectrum of dC⁻, the VDE value of Schaefer *et al.* matches our spectrum almost as well, and again their EA_a value is plausible. Correspondingly, the same is again true for the EA_a value of Sevilla *et al.* For the spectrum of dA⁻, the VDE value of Schaefer *et al.* is not as good of a match, although by most standards, it is still good. The EA_a value, on the other hand, has moved down in EBE and outside the signal region of the spectrum. This may be due to a deficiency in the calculations or it may be due to the diminished Franck-Condon overlap between the lowest vibrational levels of dA⁻ and those of dA. In fact, the similarly small EA_a value from the calculation of Sevilla *et al.* suggests that this latter explanation may be the better one, although contrary to the negative value of EA_a computed by that calculation, our ability to prepare and study dA⁻ in beams suggests that it is a stable anion. It is likely that the excellent agreement between theory and experiment for dT⁻ and dC⁻ and the lesser degree of agreement between theory and experiment in the case of

TABLE I. Experimental and theoretical values of EA_a and VDE for nucleosides and their anions, respectively. Error bars for expt. VDE values are ± 0.10 eV, while those for expt. EA_a are ± 0.2 eV.

| Species | EA_a (eV) | | VDE (eV) | |
|--------------------------------|-----------------------|--------------------|---------------------|-------------------|
| | Theory ^{a,b} | Expt. | Theory ^a | Expt. |
| Deoxyribonucleosides | | | | |
| 2'-deoxythymidine ⁻ | 0.44 ^a | 0.45 ^b | ~0.4 | 0.89 |
| 2'-deoxycytidine ⁻ | 0.33 ^a | 0.33 ^b | ~0.5 | 0.87 |
| 2'-deoxyadenosine ⁻ | 0.06 ^a | -0.04 ^b | ~0.7 | 1.14 |
| 2'-deoxyguanosine ⁻ | 0.09 ^a | ... | ... | 0.05 ^a |
| Ribonucleosides | | | | |
| Uridine ⁻ | ... | ... | ~0.7 | 1.39 |
| Cytidine ⁻ | ... | ... | ~0.7 | 1.08 |
| Adenosine ⁻ | ... | ... | ~0.9 | 1.40 |
| Guanosine ⁻ | ... | ... | ~0.7 | ~1.6 |

^aReference 39.

^bReference 40.

dA^- is a reflection of the differences between pyrimidine-based nucleosides and purine-based nucleosides and theory's ability to account for them. On the whole, however, the agreement between theory and experiment must be seen as being excellent.

Furthermore, while theoretical values for EA_a and VDE were calculated for dG and dG^- by Schaefer *et al.*, we were not able to record the spectrum of dG^- . We did, however, measure the photoelectron spectrum of the ribonucleoside anion, guanosine⁻. If the shift (described above) between deoxy- and ribonucleoside anion spectra holds, then the

spectrum of dG^- should look like that of guanosine⁻, just located at slightly lower EBE values. If so, it would not look like a (diffuse) dipole bound anion, the photoelectron spectra of which display distinctive signatures, characterized by a single narrow peak at very low EBE. Nevertheless, the shape of the guanosine⁻ spectrum is different from the others, deviating considerably from those of its cousins, dA^- and adenosine⁻, wherein the lower EBE band of the guanosine⁻ spectrum is indistinct, even though the shape of its high EBE feature maintains the purine-based nucleoside anion family trait. It seems plausible that the deviation of its spectral shape may be somehow related to the diffuse excess electron character predicted by both theoretical studies.

Through the combination of our experimental results on nucleoside anions and the theoretical calculations of Schaefer *et al.* and of Sevilla *et al.*, several implications emerge. (1) With the possible exception of dG^- , the parent anions of nucleosides exist, and they are stable. (Moreover, the electron affinities of the nucleosides are greater than those of their corresponding nucleobases.) (2) These nucleoside anions are valence anions, and in most cases the negative charge is closely associated with the nucleobase moiety. (3) The nucleoside parent anions we have generated and studied by photoelectron spectroscopy are the negative ions of canonical, neutral nucleosides, similar to those found in DNA and perhaps to those in some RNA configurations.

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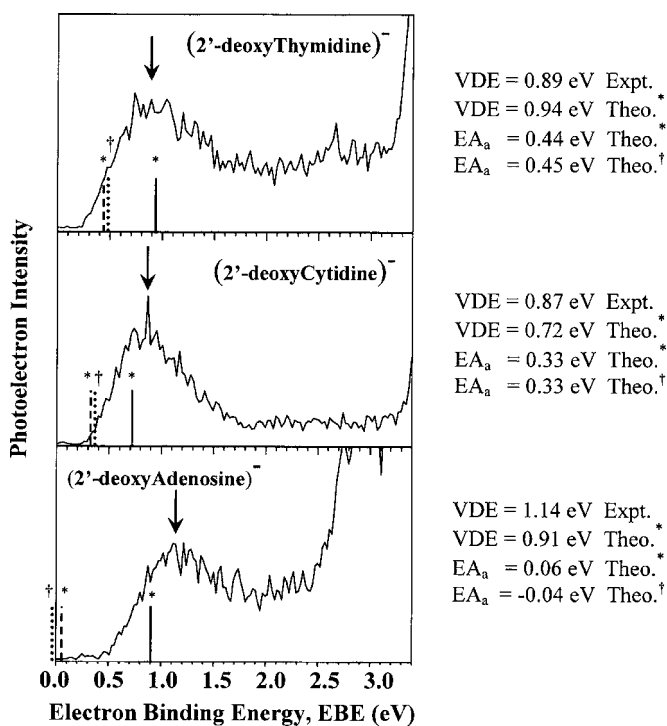


FIG. 4. Comparison of the photoelectron spectra of the anions of deoxythymidine, deoxycytidine, and deoxyadenosine with the theoretically predicted values of EA_a and VDE for these same species. The symbols, * and †, denote values from the calculations of Schaefer *et al.* and Sevilla *et al.*, respectively. Down-going arrows indicate the location of the VDE value on each spectrum. Solid vertical sticks denote predicted VDE values, while dotted and dashed vertical sticks denote predicted EA_a values.

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