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J. Am. Chem. Soc., **Just Accepted Manuscript** • DOI: 10.1021/jacs.5b08134 • Publication Date (Web): 21 Oct 2015

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On the Importance of Time Scale and Local Environment in Electron-Driven Proton Transfer. The Anion of Acetoacetic Acid

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Abstract

Anion photoelectron spectroscopy (PES) and electron energy-loss spectroscopy (EELS) probe different regions of the anionic potential energy surface. These complementary techniques provided information about anionic states of acetoacetic acid (AA). Electronic structure calculations facilitated the identification of the most stable tautomers and conformers for both neutral and anionic AA, and determined their relative stabilities and excess electron binding energies. The most stable conformers of the neutral *keto* and *enol* tautomers differ by less than 1 kcal/mol in terms of electronic energies corrected for zero-point vibrations. Thermal effects favour these conformers of the *keto* tautomer which do not support an intramolecular hydrogen bond between the keto and carboxylic groups. The valence anion displays a distinct minimum which results from proton transfer from the carboxylic to the keto group, thus we name it an *ol* structure. The minimum is characterized by a short intramolecular hydrogen bond, a significant electron vertical detachment energy of 2.38 eV, but a modest adiabatic electron affinity of 0.33 eV. The valence anion was identified in the anion PES experiments and the measured electron vertical detachment energy of 2.30 eV is in good agreement with our computational prediction. We conclude that binding an excess electron in a π^* valence orbital changes the localization of a proton in the fully relaxed structure of the AA^- anion. The results of EELS experiments do not provide evidence for an ultrarapid proton transfer in the lowest π^* resonance of AA^- , which would be capable of competing with electron autodetachment. This observation is consistent with our computational results indicating that major gas phase conformers and tautomers of neutral AA do not support the intramolecular hydrogen bond that would facilitate ultrarapid proton transfer and formation of the *ol* valence anion. This is confirmed by our vibrational EELS spectrum. Anions formed by vertical electron attachment to dominant neutrals undergo electron autodetachment with or without vibrational excitations, but are unable to relax to the *ol* structure on a timescale fast enough to compete with autodetachment.

1 Introduction

Proton motion coupled with electron transfer was identified long ago as the basic mechanism of bioenergetic conversion.¹ The extensive study of this class of reactions has recently been motivated by their importance in biological systems, e.g., damage of DNA and RNA by low-energy electrons involved in cancer radiotherapy,^{2,3} protein redox machines including photosystem II and ribonucleotide reductase.⁴ There is also technological relevance in conversion and storage of solar energy through activation of small molecules such as water, methanol and CO₂.⁵ Finally, fabrication of micro- and nano-electromechanical devices through electron beam lithography hinges on fundamental electron-driven processes.⁶ A special sub-category of proton-coupled electron transfer is excess electron-induced proton transfer.^{3,7}

Although gas-phase studies of excess electron-induced proton transfer in DNA and proteins are challenging because of the low vapor pressures of these molecules,^{3,8} this difficulty has been significantly reduced in the cases of sub-units of DNA, e.g., base pairs, nucleosides, and nucleotides, by the development of specialized, laser desorption/photoemission anion sources for bringing them into the gas phase as intact anions.⁹⁻¹¹ Nevertheless, it is still valuable to identify smaller model systems with greater vapor pressures, so that the fundamental chemical and physical aspects of (excess) electron-induced proton transfer can be studied.

Previously, we suggested that the dimer of formic acid might serve as a model system for intermolecular proton transfer induced by a π^* excess electron.¹² It displays many similarities with intermolecular proton transfer in anionic complexes of nucleic acid bases with weak acids.¹³⁻¹⁵ Similarly, hydrogen bonded complexes of ammonia and hydrogen halides served as model systems for intermolecular proton transfer induced by a σ excess electron.^{16,17}

The electron-induced proton transfer in the formic acid dimer (Fig. 1 top row) is manifested also by the differences of the results of electron energy-loss spectroscopy (EELS) experiments on the monomer and dimer of formic acid.¹⁸ The yield of very low energy electrons was found to be 20 \times stronger in the dimer than in the monomer. The dramatic

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4 increase in the efficiency of the dimer to quasi-thermalize electrons arriving in the 1-2 eV
5 energy range and captured in the lowest π^* shape resonance was interpreted in terms of
6 rapid intermolecular proton transfer that quenches the fast autodetachment channel. It was
7 concluded that the phenomenon of electron-driven proton transfer can be ubiquitous, and
8 that it may be responsible for rapid slowing down of excess electrons.
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13 The relaxed anion of the formic acid dimer has now been experimentally characterized
14 using Ar-tagged vibrational predissociation and electron autodetachment spectroscopies as
15 well as anion photoelectron spectroscopy.¹⁹ These results confirmed that excess electron
16 attachment leads to a transfer of one of the protons across the H-bonded bridge. The study
17 corroborated that the relaxed anion of formic acid dimer is composed of a largely intact
18 formate anion attached to the dihydroxymethyl radical through a symmetrical, double O-H
19 bonded bridge, see the top of Fig. 1.
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28 Much less information is available on *intramolecular* proton transfer induced by an
29 excess electron and the results are available primarily for low-vapor pressure molecules,
30 e.g., nucleotides.²⁰ For example, the anion photoelectron spectrum of 2'-deoxyadenosine-
31 5'-monophosphate²⁰ has been interpreted through intramolecular proton transfer from a
32 hydroxyl group of the phosphate to the N3 position of the adenine.²¹
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38 Here, we present computational and experimental results on neutral and anionic ace-
39 toacetic acid (AA), see Fig. 1 bottom row. Acetoacetic acid is the simplest beta-keto acid
40 and is thermodynamically unstable with respect to the decomposition to acetone and CO₂.
41 However, an experimental half-life of 140 minutes has been reported for a water solution of
42 AA at 37 °C.²² Thus, the molecule can be probed experimentally provided care is taken to
43 operate at low temperatures. Some computational information is available on the ketonic
44 decarboxylation of AA.²³⁻²⁵
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52 AA can exist in both the *keto* and *enol* forms (labelled **K** and **E** here).^{25,26} The *keto-enol*
53 equilibrium was found to be strongly solvent dependent, with the *keto* form dominant in
54 polar solvents. Based on ¹H NMR spectra, it was suggested that a conformer of the *keto*
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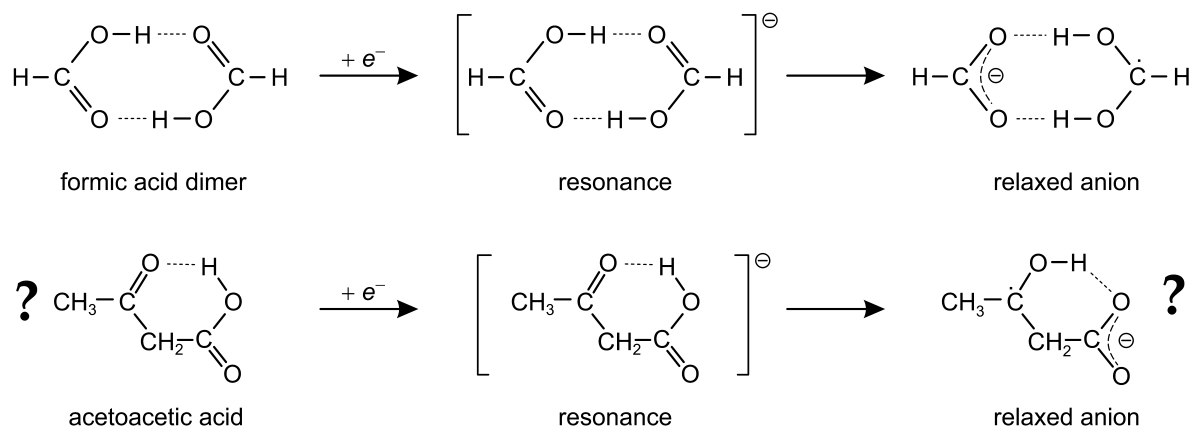


Figure 1: The electron-induced intermolecular proton transfer in the formic acid dimer^{12,18,19} (top), and the hypothesized intramolecular proton transfer in the *keto* tautomer of acetoacetic acid (bottom). The feasibility of the latter process will be explored in this study for the tautomers of acetoacetic acid. Based on the formic acid results¹⁸ we expect that the vertical electron attachment leads to a (shape) resonance, with the excess electron in the lowest π^* orbital, which can then relax by an ultrarapid proton transfer, in competition with the very fast spontaneous electron detachment.

form with an intramolecular hydrogen bond (**K1** in Fig. 2) is not dominant under any conditions though *keto* tautomers dominate in polar solvents.²⁶ It was also suggested that an *enol* tautomer is present in less polar solvents, and it certainly exists as an internally hydrogen-bonded conformer, like **E1**. The enolization of the ketone group was found to be more favorable than the enolization of the carboxylic group by 11.3 kcal/mol at the MP2 level of theory.²⁵ Thus, our further discussion is focused on the *keto* and ketone *enol* tautomers, see Fig. 2.

In this study, we explore AA in the gas phase, and we probe whether its *keto* and *enol* tautomers undergo intramolecular proton transfer driven by an excess electron attachment (Figs. 1 bottom and 3). Upon formation of a suitable conformer, an intramolecular hydrogen bond might provide a bridge for ultrafast proton transfer. We use electronic structure methods to identify the most stable conformers of the *keto* and *enol* tautomers and to characterize the bound anionic states of AA, both valence- and dipole-bound. Anion photoelectron spectroscopy (PES) measurements probe the bound and relaxed anionic states of AA⁻ and test computational predictions. EELS measurements provide both vertical electron attachment

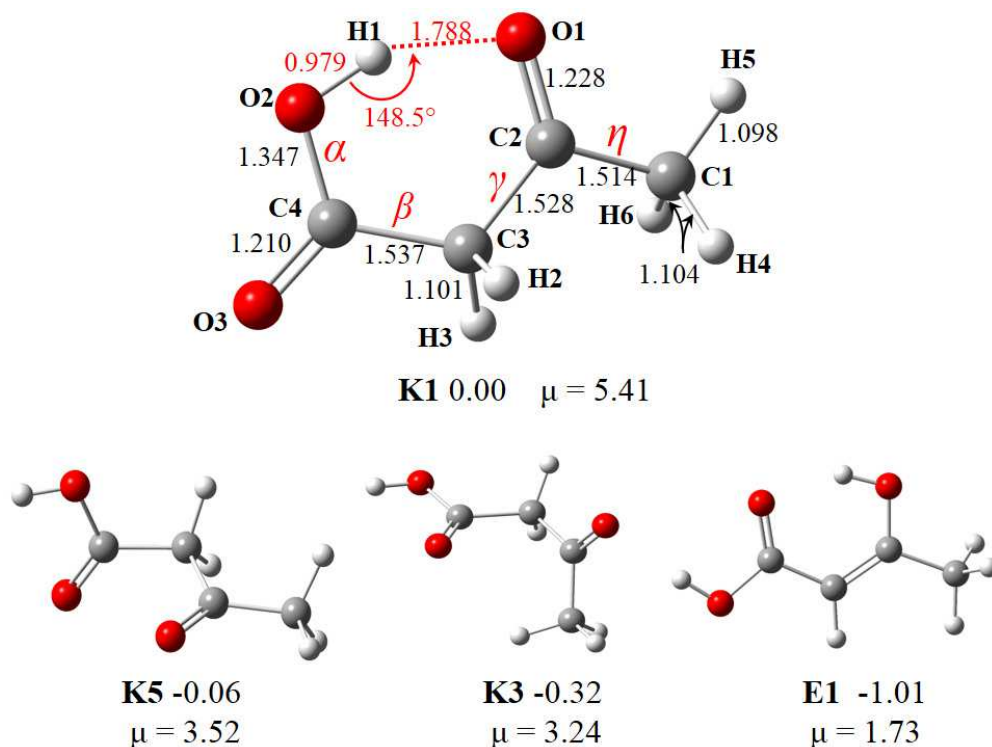


Figure 2: Molecular structures of neutral AA considered in this study. The relative CCSD(T)/ADZ energies corrected for MP2/ADZ zero-point energies (kcal/mol). The principal geometrical parameters (in Å and degrees) were characterized at the CCSD/ADZ + DF level of theory. Bonds α , β , γ , η and ε were rotated for conformational searches. The dipole moments, μ , determined at the CCSD level are Debyes.

energies for the (unrelaxed) π^* -states (resonances) of AA^- and the vibrational spectrum of neutral AA. Based on past experience with the dimer of formic acid,¹⁸ particular attention is paid to the possibility of quasi-thermalization of electrons captured in the lowest π^* shape resonance, this being indicative of an ultrarapid proton transfer.

2 Computational Methods

The *keto* and *enol* tautomers and conformers of neutral AA as well as the valence-bound anion (Figs. 2 and 3) were explored using our in-house potential energy surface scanning tool (PESST).²⁷ When probing the conformational space of *keto*, the bond α was rotated with a step size of 180° . The bonds β and γ were rotated with a step size of 60° . The probed initial

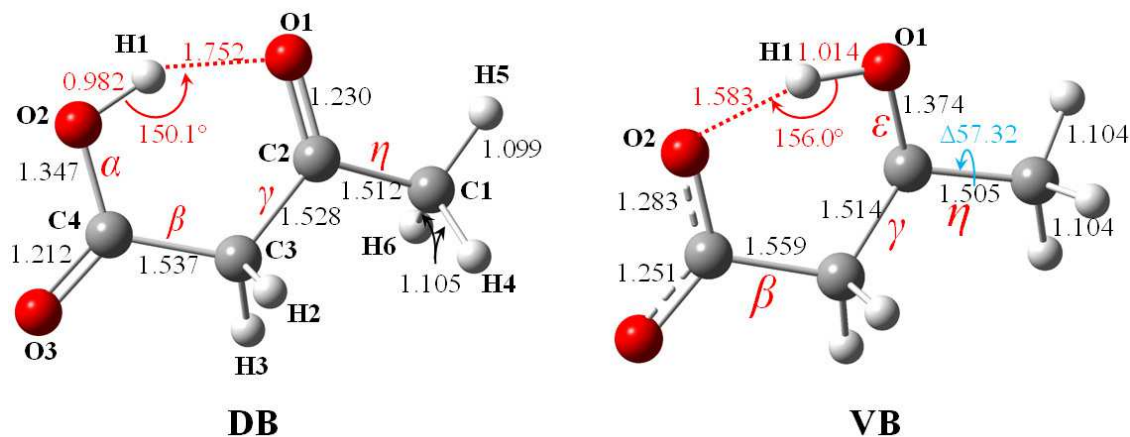


Figure 3: Molecular structures of valence-bound (VB) and dipole-bound (DB) anions of AA, considered in this study. The principal geometrical parameters (in Å and degrees) were characterized at the CCSD/ADZ + DF level of theory. Bonds α , β , γ , η and ϵ were rotated for conformational searches. The change, Δ , in the O1-C2-C1-H5 dihedral angle (blue) from the neutral **K1** to the valence anion geometry is in degrees.

η values were 0° and 60° . All combinations of these rotatable bonds α , β , γ , and η resulted in 144 initial structures for screening purposes. Similarly, when probing the conformational space of *enol*, the bond γ was rotated with a step size of 60° . The bonds β , α , and ϵ were rotated with a step size of 180° . All combinations of these rotatable bonds resulted in 48 initial structures for screening purposes. The valence anion of AA favors the *ol* structure. When probing its conformational space, γ and ϵ were rotated with a step-size of 60° and 180° , respectively. The initial β values were 0° and 90° , while those for η were 0° and 60° , yielding 42 initial structures.

We used the standard Dunning's aug-cc-pVDZ (ADZ)^{28,29} basis set supplemented with extra low-exponent basis functions centred on the carbon of (-CH₃) to describe the diffuse charge distribution in the anionic dipole-bound state. The exponents α_n of these basis functions were determined through: $\alpha_n = \frac{\alpha_0}{q^n}$, $n = 1, 2, \dots$, initiated from the lowest exponent α_0 of the *s*-, *p*- or *d*-functions in the standard ADZ basis set, and advanced with $q = 3.2$.³⁰ We limited the extra diffuse set to 5 *s*-, 5 *p*-, and 2 *d*-functions.^{31,32} We will use a label DF for these additional diffuse functions and ADZ+DF for the combined basis set.

The initial structures were pre-screened at the density functional level of theory with the

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3 B3LYP exchange-correlation functional.³³⁻³⁶ The minima contained within an energy range
4 of 10 kcal/mol were re-optimized at the coupled-cluster singles and doubles (CCSD)³⁷ level
5 of theory. Harmonic frequencies were routinely calculated at the MP2 level, and for the
6 most stable systems also at the CCSD level. The most accurate electronic energies were
7 obtained at the coupled-cluster level with single, double and non-iterative triple excitations
8 (CCSD(T)).³⁸ The Gibbs energies were based on the coupled cluster electronic energies cor-
9 rected for zero-point vibration energies, thermal contributions to energy, pV terms, and
10 entropy terms. These terms were calculated in the rigid rotor-harmonic oscillator approxi-
11 mation for $T = 298$ K and $p = 1$ atm. We also characterized barriers separating low-lying
12 conformers of the neutral *keto* AA.

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24 The vertical excess electron binding energies were calculated in two ways. In “indirect”
25 approaches, the energy of the anion was subtracted from the energy of the neutral and
26 the procedure was executed at the SCF, MP2, CCSD, and CCSD(T) levels of theory. The
27 vertical excess electron binding energy can be also calculated “directly”. Here we used the
28 Electron Propagator Theory (EPT) method with the P3 propagator³⁹ applicable to both
29 electron detachment and attachment processes. We will use the term, EPT(3rd), to refer
30 to the third-order electron binding energies. One can calculate the excess electron vertical
31 binding energy as either the Electron Affinity (EA) of the neutral or the Ionization Potential
32 (IP) of the anion.

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The dipole- and valence-bound anions have minima corresponding to quite different
molecular structures. The former results from a minor distortion of the neutral **K1** *keto*
but the latter is better described as a conformer of the *ol* tautomer (Fig. 3). Henceforth we
will use **DB** and **VB** to refer to the dipole- and valence-bound anions, respectively. In order
to illustrate the evolution of the **DB** and **VB** anionic states as a function of intramolecular
proton transfer, we constructed a linear synchronous path, see Eq. S1 in the supporting
information.

The energy of the anion M^- at a geometry G can be written as:

$$E_{M^-}(G) = E_M(G_M) + \Delta E_M(G) - EBE(G), \quad (1)$$

where $E_M(G_M)$ is the energy of the neutral M at its optimal geometry G_M ,

$$\Delta E_M(G) = E_M(G) - E_M(G_M) \quad (2)$$

represents an increase of the energy of the neutral M associated with its geometrical deformation from G_M to G , and $EBE(G)$ is the vertical electron binding energy at the geometry G :

$$EBE(G) = E_M(G) - E_{M^-}(G). \quad (3)$$

The values of EBE are positive for vertically bound anionic states and negative for resonances. The vertical electron affinity (VEA) is equivalent to $EBE(G_M)$. The vertical detachment energy (VDE) is equivalent to $EBE(G_{M^-})$ and the electronic part of the adiabatic electron affinity is defined as:

$$AEA = E_M(G_M) - E_{M^-}(G_{M^-}), \quad (4)$$

where G_{M^-} is the optimal geometry on the anion of M . Notice that

$$AEA = -\Delta E_M(G_{M^-}) + EBE(G_{M^-}) = -\Delta E_M(G_{M^-}) + VDE. \quad (5)$$

Further extensions of this notation are needed for G_{M^-} , as the anion might be either valence- or dipole-bound. In addition to G_M we will consider $G_{M_{db}^-}$ and $G_{M_{VB}^-}$, which are the lowest energy structures for the dipole- and valence-bound anions, respectively. We will also

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$$\Delta E_{VB \rightarrow dbs} = E_{M_{dbs}^-}(G_{M_{VB}^-}) - E_{M_{VB}^-}(G_{M_{VB}^-}), \quad (6)$$

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10 which is the vertical excitation energy from the valence- to the dipole-bound anion at the
11 optimal valence anion geometry.
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14 All electronic structure calculations reported in this study were performed with the Gaus-
15 sian 2009 package.⁴⁰ The orbitals occupied by an excess electron were generated with the
16 Visual Molecular Dynamics⁴¹ package and the contour values used in the plots were calcu-
17 lated with the OpenCubeMan⁴² tool using a fraction of electron (F_e) density equal to 0.6.
18 The GaussView⁴³ package was used to draw molecular structures.
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27 **3 Experimental Methods**

28 **3.1 Synthesis of acetoacetic acid**

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31 Our synthesis followed the protocol described in Ref. 44. Sodium hydroxide (0.16 mol) was
32 added to aqueous ethyl acetoacetate (0.15 mol) on ice. The reaction was stirred and allowed
33 to react overnight. The resulting solution was saturated with ammonium sulfate. Sulfuric
34 acid was then used to acidify the solution. The solution was extracted three times with
35 diethyl ether (200 ml) and dried over magnesium sulfate. After removing the ether using
36 a rotary evaporator and drying using a rotary vacuum pump, a yellowish gel of acetoacetic
37 acid was obtained. Since batches of acetoacetic acid were readily subject to decomposition,
38 care was taken to ensure that the solutions did not become warmer than 30 °C, and they
39 were utilized in PES and EELS experiments as quickly as possible.
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3.2 Photoelectron spectroscopy

Anions of acetoacetic acid were generated by two different sources and their photoelectron spectra measured on two different types of anion photoelectron spectrometers. In one case, they were produced with a nozzle-ion source and their photoelectron spectra measured with a continuous anion photoelectron apparatus.⁴⁵ In the other case, the anions were generated with a photo-induced electron emission source and their photoelectron spectra were measured with a pulsed anion photoelectron apparatus.⁴⁶ In both instruments, anion photoelectron spectroscopy was conducted by crossing a mass-selected beam of negative ions with a fixed-frequency photon beam and then energy analyzing the resultant photodetached electrons. This technique is governed by the energy conserving relationship, $h\nu = EKE + EBE$, where $h\nu$ is the photon energy, EKE is the measured electron kinetic energy, and EBE is the electron binding energy.

3.2.1 Continuous anion photoelectron spectrometer

In this type of anion photoelectron spectrometer, the ion source and all of the other components operate continuously.⁴⁵ The ion source was a biased (-500 V), supersonic expansion nozzle-ion source, in which the acetoacetic acid sample was placed inside its stagnation chamber. There, due to the warming of the source by the adjacent hot filament, some of the sample evaporated and was expanded through a $25\ \mu\text{m}$ diameter nozzle orifice into $\sim 10^{-4}$ Torr vacuum along with argon gas which was maintained at a pressure of 1–2 atm in the source's stagnation chamber. Anions were formed by injecting low energy electrons from a hot, even more negatively-biased, thoriated iridium filament into the expanding jet, where a weak external magnetic field helped to form a micro-plasma. The nascent anions were then extracted into ion optics and mass-selected by a 90° magnetic sector, mass spectrometer with a mass resolution of ~ 400 . After mass-selection, the beam of acetoacetic acid parent anions was crossed with an argon ion laser beam (operated intra-cavity), where electrons were photodetached. These were then energy-analysed by a hemispherical electron energy

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3 analyzer operating at a resolution of 30 meV. The photoelectron spectra reported here
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5 were recorded with 2.54 eV photons and calibrated against the well-known photoelectron
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7 spectrum of O^- .⁴⁷
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10 3.2.2 Pulsed anion photoelectron spectrometer

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12 In this type of anion photoelectron spectrometer, the ion source and all of the other com-
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14 ponents operate in a pulsed manner.⁴⁶ Anions were generated by the interaction of laser-
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16 generated photoelectrons with a pulsed jet of helium gas containing a small amount of ace-
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18 toacetic acid vapor. The photoelectrons were produced by pulsed laser irradiation (Nd:YAG
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20 laser operating at 2.33 eV/photon) of a rotating, translating copper rod which was mounted
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22 inside a grounded housing having a laser beam entrance port, a pulsed gas valve, and an exit
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24 nozzle. A small amount of acetoacetic acid sample was placed inside the pulsed gas valve
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26 and together with 4 atm of helium gas, its vapor was expanded in synchronization with
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28 the laser pulses. Photo-emitted electrons attached to AA molecules to form AA^- anions.
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30 These were entrained in the ensuing jet which was directed through a skimmer and a sub-
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32 sequent differential pumping chamber into the Wiley-McLaren extraction plates of a linear,
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34 time-of-flight mass spectrometer (mass resolution 2,000). After mass selection by a mass
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36 gate and deceleration via a momentum decelerator, the anions of interest were irradiated by
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38 a second pulsed laser beam (Nd:YAG laser operating at 3.49 eV/photon), which photode-
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40 tached electrons from them. The photodetached electrons were then energy analyzed by a
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42 magnetic bottle, electron energy analyzer with a resolution of 35 meV at $EKE = 1$ eV. The
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44 photoelectron spectrum was calibrated against the well-known atomic lines of Cu^- .⁴⁸ The
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46 pulsed photoelectron spectrometer probed a wider range of electron binding energies than
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48 the continuous photoelectron spectrometer due to its use of higher energy photons.
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3.3 Electron energy-loss spectroscopy

The electron-impact spectrometer used in this study has been described in the work on the formic acid dimer¹⁸ (and references cited therein). It uses hemispherical analyzers to prepare a beam with a narrow electron energy spread and to analyze energies of the scattered electrons. The measurements were performed at a scattering angle of 135°. The sample was kept in ice and evaporated through a needle valve to a 0.25 mm diameter effusive orifice kept at 30°C. The resolution was about 20 meV in the energy-loss mode.

4 Computational Results

4.1 Neutral AA

Neutral AA supports minima for the *keto* and *enol* tautomers (Fig. 2). Our attempts to identify an *ol* minimum energy structure with H1 bound to O1 failed: the H1 proton either transferred back to O2 or the molecule broke into propen-2-ol and CO₂.²³ There are six low-lying *keto* conformers (**K1-K6**) within an energy range of 1 kcal/mol. Their structures and the transition states separating them are presented in the supporting information, Fig. S1. The *enol* conformers are presented there as well in Fig. S2. The most stable **E1** is separated from other *enols* by at least 4 kcal/mol. The minima of the neutral AA relevant for the further discussion (**K1**, **K3**, **K5**, **E1**) are presented in Fig. 2.

The relative stability of **K1** with respect to **K3**, **K5**, and **E1** is summarized in Table 1. In terms of electronic energies, the *enol* **E1** is the most stable at all levels of theory by ca. 2 kcal/mol. The *keto* conformers are clustered within a narrow range of 0.3 kcal/mol, with **K1** being the most stable. With such small differences in electronic energies, the zero-point energies and thermal contributions to thermodynamic functions clearly matter. As was observed in the past, conformers with hydrogen bonds have higher zero-point vibrational energies despite significant red shifts of the proton donor stretching frequencies.^{49,50} The same

pattern is observed here, i.e., **E1** and **K1** have the highest zero-point vibrational corrections in the *enol* and *keto* families, respectively. The thermal contribution to the stability is dominated by entropic effects associated with very soft vibrational modes. Thus again **E1** and **K1** are disfavored and the most stable gaseous conformers at standard conditions are **K3** and **K5**, though the spread of Gibbs free energies is very narrow, less than 1 kcal/mol. Our findings are consistent with the earlier liquid phase ^1H NMR results of Grande and Rosenfeld.²⁶

Table 1: The relative stability (kcal/mol) of the most relevant tautomers and conformers of neutral AA.

Str.	E_{elec}^{MP2}	E_{elec}^{CCSD}	$E_{elec}^{CCSD(T)}$	$E_{elec}^{CCSD(T)} + E_{0,vib}^{MP2}$	$E_{elec}^{CCSD(T)} + Gibbs$
K1	0.00	0.00	0.00	0.00	0.00
K3	0.04	-0.41	0.01	-0.32	-0.98
K5	0.24	-0.13	0.28	-0.06	-0.82
E1	-2.85	-1.55	-1.91	-1.01	-0.20

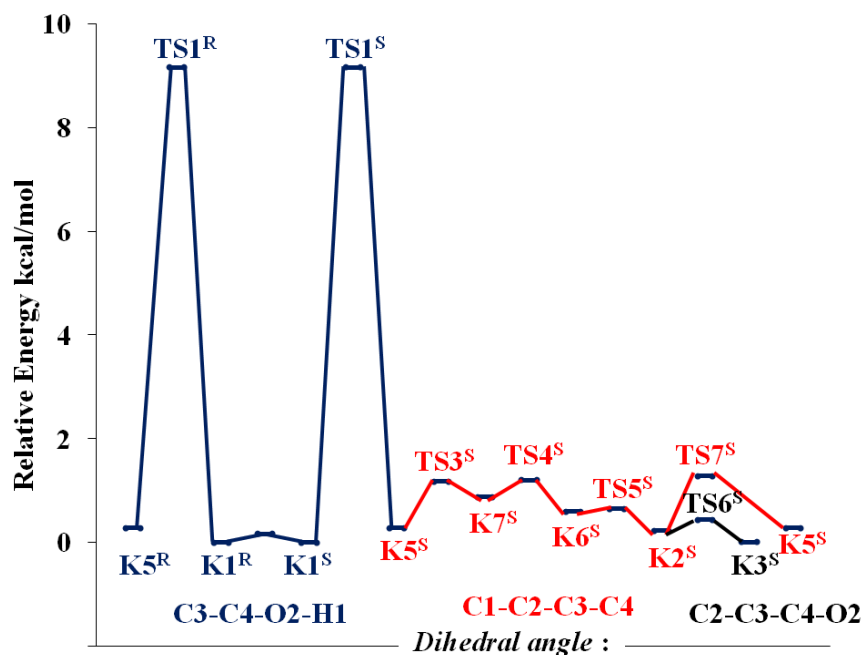


Figure 4: Energy profile connecting *keto* structures of AA. The energies (in kcal/mol) were calculated at the CCSD(T)/ADZ level using the CCSD/ADZ geometries.

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4 A simplified landscape of the potential energy surface for the *keto* conformers is illustrated
5 in Fig. 4. One could anticipate that the **K1** conformer would be particularly stable due to
6 the intramolecular O2H1···O1 hydrogen bond, but the relative energies listed in Table 1
7 and Fig. S1 indicate otherwise. **K1** is unique not so much in its stability but in the energy
8 barrier (**TS1** at 9.2 kcal/mol) that separates it from **K5** and the remaining conformers. This
9 barrier can be associated with breaking of the intramolecular hydrogen bond. The barriers
10 separating the conformers **K2** – **K7** are much smaller and do not exceed 1.1 kcal/mol.

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There are at least two factors that oppose the stabilizing effect of the intramolecular hydrogen bond in **K1**. First, **K1** is the most polar conformer, with a dipole moment exceeding 5.4 D. The remaining conformers have dipole moments smaller by more than 1.8 D. High polarity typically increases electronic energy of a neutral molecule by raising the energy of the highest occupied orbital. Second, formation of the O2H1···O1 hydrogen bond is associated with intramolecular strain. The results obtained with the Amber⁵¹ force field, see Table S5, confirm this hypothesis. A sum of the angle bending, torsional and bond stretching terms is larger in **K1** than in, e.g., **K5**, by ca. 2.8 kcal/mol.

4.2 Anionic AA

K1 is the most promising conformer to host a dipole-bound anionic state in view of its competitive stability and dominant polarity ($\mu = 5.4$ D). In addition to the dipole-bound state, AA can support a valence-bound anion. An overview of anionic states of AA is presented in Fig. 5 using a set of geometries connecting the dipole-bound minimum, similar to the **K1** structure of the neutral, with the valence-bound anion minimum: $G_{M_{db}^-}$ with $G_{M_{VB}^-}$. The potential energy curve of the neutral is repulsive upon transferring H1 from O2 to O1. The dipole moment of the neutral increases upon proton transfer from 5.4 D to 10.0 D. Thus the dipole-bound anion remains bound upon proton transfer. The valence anion is unbound at the minimum energy structure of the neutral; it can be probed as a resonance state with a very short finite lifetime in the EELS experiments (see Section 5.2). The energy

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4 of the resonance quickly decreases upon intramolecular proton transfer, crosses the potential
5 energy surface of the neutral, and undergoes an avoided crossing with the dipole-bound
6 anion. With H1 transferred from O2 to O1, the valence anion supports a minimum, which
7 is characterized by a VDE of 2383 meV. The valence anion is adiabatically bound with
8 respect to the neutral **K1** by 317 meV (in terms of electronic energies). A vertical electronic
9 excitation energy from the valence- to the dipole-bound anionic state, $\Delta E_{VB \rightarrow dbs}$, is 2186
10 meV. In future experiments, one might want to probe the molecular dynamics of AA^- upon
11 this electronic excitation.
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20 The singly-occupied molecular orbitals in the **DB** and **VB** anions, each at its minimum
21 energy structure, are illustrated in Fig. 6. The former orbital is very diffuse and localized
22 on the positive pole of the molecular dipole, which proves to be a hydrophobic group CH_3 .
23 The latter is a π orbital localized around the C2 atom, with bonding interactions involving
24 the neighboring carbon atoms and an antibonding interaction between C2 and O1. The
25 unpaired electron in the valence anion is localized in the vicinity of C2O1H, but the excess
26 charge is localized on C4OO⁻.
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34 $G_{M_{dbs}^-}$ differs from G_M by shortening the O1...H1 distance by 0.036 Å, increasing the
35 O1-H1-O2 angle by 1.6° and an elongation of the O2-H1 distance by 0.003 Å, see Figs.
36 2 and 3. Overall it is a small step towards proton transfer, a nascent zwitterionization.
37 The dipole moment of neutral AA increases by 0.16 D upon these geometrical distortions, a
38 typical increase for dipole-bound anionic states.
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44 The vertical electron binding energies for the dipole-bound anionic state, obtained with
45 indirect and direct methods, are reported in Table 2 for the G_M , $G_{M_{dbs}^-}$ and the $G_{M_{VB}^-}$ geome-
46 tries. Starting from “indirect” electron binding energies, the SCF contribution represents
47 only 46-65% of the CCSD(T) results, illustrating the role of electron correlation effects in
48 dipole-bound anionic states. The differences between the CCSD and CCSD(T) results do
49 not exceed 0.2 meV. The electron binding energies obtained in “direct” approaches start
50 from the Koopmans’ theorem estimations, thus they neglect orbital relaxation and electron
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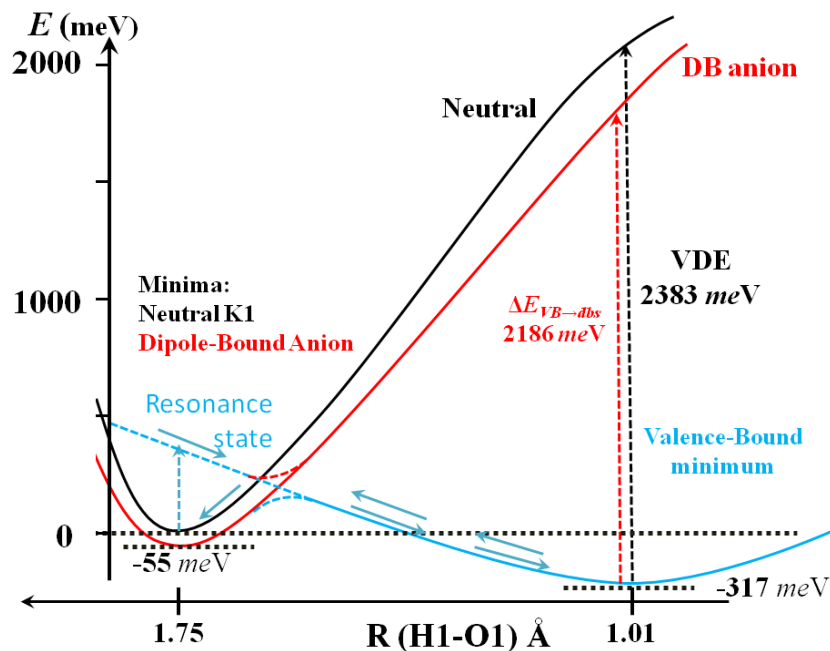


Figure 5: Energy profile depicting the neutral (black), dipole-bound (red) and valence (blue) anionic potential energy surfaces of AA. The energies (meV) computed at the CCSD(T)/ADZ+DF level. Left: the dipole-bound anionic minimum (and the local minimum of the neutral (**K1**)). Right: the global minimum of valence anion.

correlation effects. The differences between the KT and SCF “indirect” results are small indicating that orbital relaxation effects are minor for the dipole-bound anionic state. The EPT 3rd order results are in good agreement with the “indirect” CCSD(T) results. The role of the second-order dispersion interaction between the loosely bound electron and the electrons of AA is illustrated in Table S4.

Various estimations of adiabatic electron affinity for this anionic state are reported in Table 3. The CCSD(T) and CCSD results are very similar, approximately 54 meV, and the zero-point vibrational correction further stabilizes the anion by 3 meV. The decomposition of electronic component of AEA into the $\Delta E_M(G_{M^-})$ and VDE terms (Eq. 5, Table 4), illustrates a very small geometrical distortion of the molecular framework upon the excess electron attachment and the dominant role of VDE.

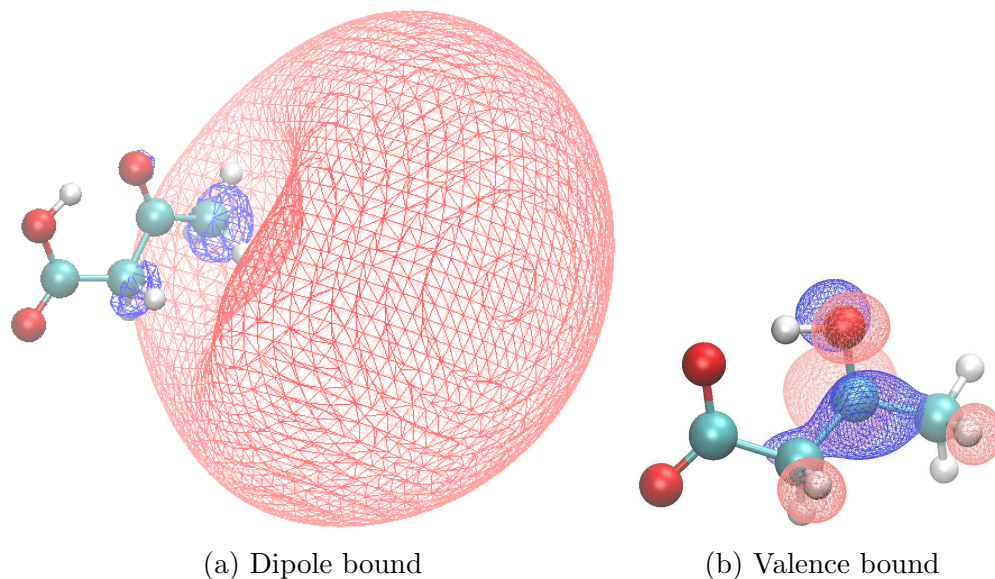


Figure 6: The orbital occupied by an excess electron in the **DB** and **VB** anions of AA plotted with a fraction of electron density (F_e) equal to 0.6.⁴²

Table 2: Vertical electron binding energies (meV) of the dipole-bound anionic state at the G_M , $G_{M_{db}^-}$ and the $G_{M_{VB}^-}$ geometries using the CCSD/ADZ+DF optimal geometries.

Method		EBE		
		G_M	$G_{M_{db}^-}$	$G_{M_{VB}^-}$
Indirect	SCF	24.06	26.89	127.68
	MP2	32.81	36.31	217.72
	CCSD	52.34	56.59	196.85
	CCSD(T)	52.19	56.55	197.04
Direct EA	KT	21.59	24.33	113.30
	EPT 3rd	43.63	47.46	245.07
Direct IP	KT	26.32	29.78	135.79
	EPT 3rd	45.27	50.45	247.84

Table 3: Adiabatic electron affinities (meV) of the **DB** and **VB** anions calculated at different levels of theory with the CCSD optimized geometries. All calculations with the ADZ+DF basis set.

	AEA (electronic)				$ \Delta E_{vib} $	AEA
	SCF	MP2	CCSD	CCSD(T)		
DB	8.60	38.88	54.15	54.56	2.63	57.19
VB	-182.80	286.26	289.55	317.02	17.27	334.29

Table 4: The electronic component (CCSD(T)/ADZ+DF) of AEA (meV) decomposed into the $\Delta E_M(G_{M^-})$ and VDE terms.

	$-\Delta E_M(G_{M^-})$	VDE	AEA
DB	-1.99	56.55	54.56
VB	-2065.69	2382.71	317.02

In contrast to the neutral AA, which supports several low-lying minimum energy structures, the valence anion of AA supports one distinct global minimum illustrated on the right side of Fig. 3. Other minima (local) are less stable by more than 12 kcal/mol, and are characterized by negative values of AEA. They display VDEs exceeding 2.9 eV, thus much higher than the VDE of **VB**, see Table S6 and Fig. S4. We also searched for valence anions associated with the *enol* structures. There were no electronically bound valence anions around the equilibrium structures of **E1** and **E3**. Even upon transferring the O1H proton to the carboxylic group, the valence anions remained electronically unbound. Thus, from now on, our discussion will be limited to **VB** illustrated on the right side of Fig. 3.

In the **VB** minimum, the H1 proton is bound to O1 and the O1H1 \cdots O2 hydrogen bond is very short, $R(\text{H1O2})=1.583 \text{ \AA}$. The intramolecular hydrogen bond is more linear than in the neutral or dipole-bound anion by 6–8°. When compared with the **K1** neutral, there is a significant elongation of the C2O1 distance by 0.146 \AA and a shortening of C4O2 by 0.064 \AA , consistent with a redistribution of double bonds upon tautomerization. Finally, the CH₃ group is rotated by ca. 58° in comparison with **K1**.

The VDEs obtained using “indirect” methods and “direct” EPT span a reasonably narrow range of 2300–2600 meV (Table 5). The Koopmans’ theorem EA and IP values are 713 and 4200 meV, respectively, while the indirect SCF value is 2400 meV, demonstrating that orbital relaxation effects are critically important for this anionic state. Electron correlation effects, on the other hand, do not contribute much to the VDE value. The anion should be readily formed in anion sources, because its adiabatic electron affinity is modest, but positive, i.e., 334 meV after inclusion of the zero-point vibrational correction (Table 3). The electronic

contribution to AEA can be analyzed in terms of Eq. 5 (Table 4). Proton transfer from O2 to O1 is accompanied by a significant increase of the energy of the neutral ($\Delta E_M(G_{M_{VB}^-}) = 2066$ meV). This energy increase is, however, outweighed by the VDE of 2383 meV. The modest electronic contribution to AEA of 317 meV results from a cancellation of the $\Delta E_M(G_{M_{VB}^-})$ and VDE terms.

Table 5: The values of VDE (meV) for the valence anion of AA.

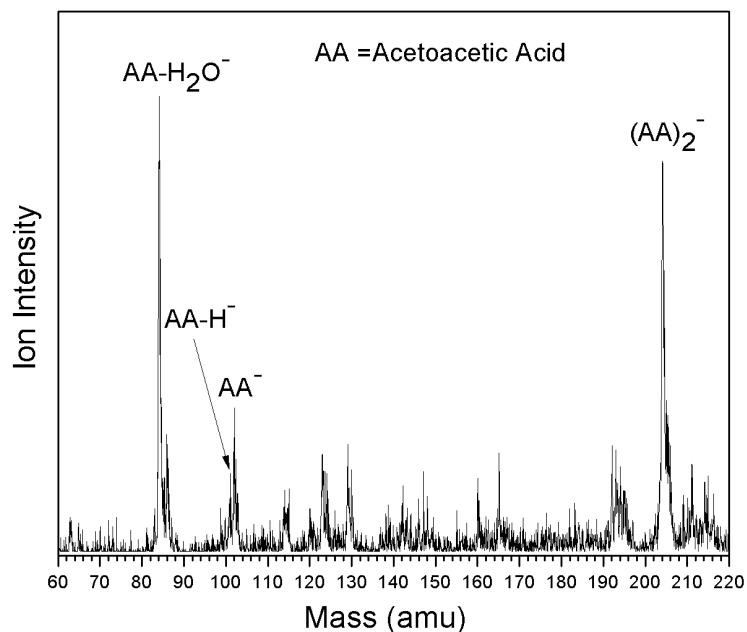
	Method	VDE
Indirect	SCF	2400.48
	MP2	2347.34
	CCSD	2482.36
	CCSD(T)	2382.71
Direct EA	KT	713.43
	EPT 3rd	2304.34
Direct IP	KT	4200.09
	EPT 3rd	2602.50

5 Experimental Results

5.1 Photoelectron spectroscopy results for AA^- and $(AA)_2^-$

Parent anions of AA were prepared using two rather different anion source environments. Figure 7 presents a representative mass spectrum of the anions produced by our pulsed, laser photo-emission source. In addition to AA^- , the spectrum shows $(AA-H_2O)^-$. Our preliminary computational results for several isomers of $(AA-H_2O)^-$ indicate that some of them are adiabatically bound with the VDEs exceeding 1.4 eV. Our complete results for the anions of AA- H_2O will be presented in a future report. The mass spectrum also shows $(AA)_2^-$.

The photoelectron spectrum of the AA^- parent anion measured on our continuous photoelectron spectrometer and recorded with 2.54 eV photons is presented in Figure 8. This



25 Figure 7: Mass spectrum of anions observed in these experiments.

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28 spectrum consists of a broad, featureless band with an onset at EBE ~ 1.2 eV and an inten-
29 sity maximum at EBE 2.04 eV. This latter quantity corresponds to the VDE of AA⁻. The
30 calculated adiabatic electron affinity of 0.33 eV is much smaller than the onset, suggesting
31 that the 0-0 transition has a diminishingly small intensity due to poor Franck-Condon over-
32 lap between the AA⁻ anion and its neutral counterpart. The calculated VDE of 2.38 eV is
33 larger by 0.34 eV than the measured intensity maximum. The source of this discrepancy is
34 discussed below.

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42 The photoelectron spectrum of the AA⁻ parent anion measured on the pulsed photo-
43 electron spectrometer and recorded with 3.49 eV photons is presented in Figure 9. This
44 spectrum also consists of a broad, featureless band with an onset at EBE ~ 1.2 eV but with
45 an intensity maximum at EBE 2.30 eV. This latter quantity again corresponds to the VDE
46 of AA⁻. This value of VDE is in good agreement with the calculated value of 2.38 eV. This
47 spectrum does not display a pronounced intensity increase in the 2.9-3.0 eV range, where the
48 electron vertical detachment energies for the valence anions other than **VB** are predicted to
49 appear (see Fig. S4).
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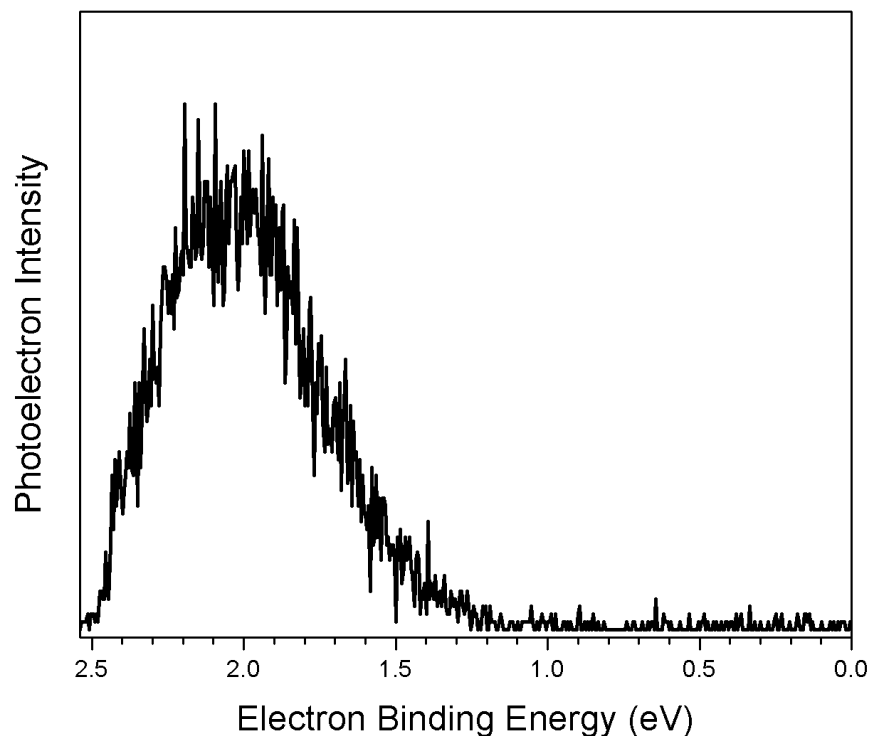


Figure 8: Photoelectron spectrum of the AA^- parent anion recorded with 2.54 eV photons on our continuous anion photoelectron spectrometer.

For the most part, the two photoelectron spectra are the same, indicating that both source environments produced the same parent AA^- species. The main difference lies in the slightly different VDE values obtained on different apparatuses. The difference between the two measured VDE values is attributed to the electron transmission function roll-off inherent for low kinetic energy (high EBE) electrons. This effect comes into play more strongly for a lower versus a higher photon energy, since a lower photon energy puts more of the spectrum in the low EKE region of the spectrum. On the continuous apparatus, whose photon energy was 2.54 eV/photon, this had the effect of attenuating the still rising photoelectron band, making its intensity maximum appear to occur at a slightly lower EBE value. By contrast, the pulsed apparatus, which utilized a photon energy of 3.49 eV/photon, was not significantly affected in this way. Thus, we believe that the more reliable value for the VDE of AA^- is 2.3 eV, close to that predicted by theory.

We also measured the photoelectron spectrum of the parent dimer anion, $(AA)_2^-$, and

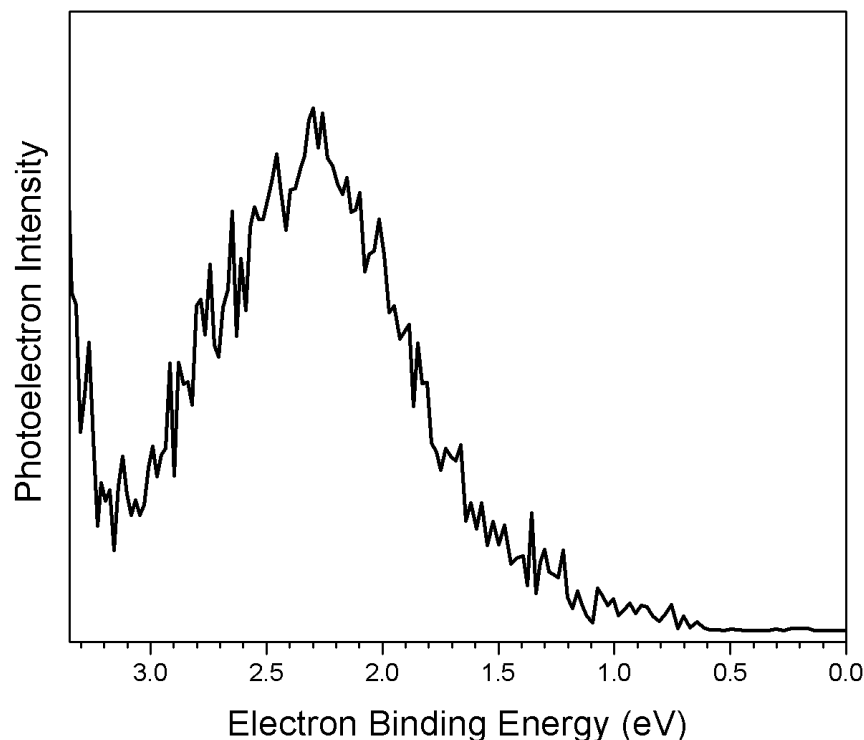


Figure 9: Photoelectron spectrum of the AA^- parent anion recorded with 3.49 eV photons on the pulsed photoelectron apparatus.

it is presented in Figure 10. This spectrum also exhibits a single broad band, and it has a similar width to that of the monomeric AA^- . Interestingly, its VDE value, at 1.7 eV, is considerably lower than that of the monomer, indicating that the additional AA molecule is not simply solvating AA^- , i.e., it is not a simple anion-molecule complex.

5.2 Electron energy-loss spectroscopy results for AA

Our electron energy loss spectrum for AA is shown in Fig. 11. The hypothetical processes induced by the attachment of an electron onto neutral **K1** are suitably visualized by the diagram in Fig. 5. Vertical electron attachment, indicated by the vertical blue dashed arrow, transfers the nuclear wave packet to the repulsive part of the valence anion potential surface – a resonance because it is subject to autodetachment. The present discussion concentrates on the lowest shape (i.e., not core excited) resonance with temporary occupation of the π_1^*

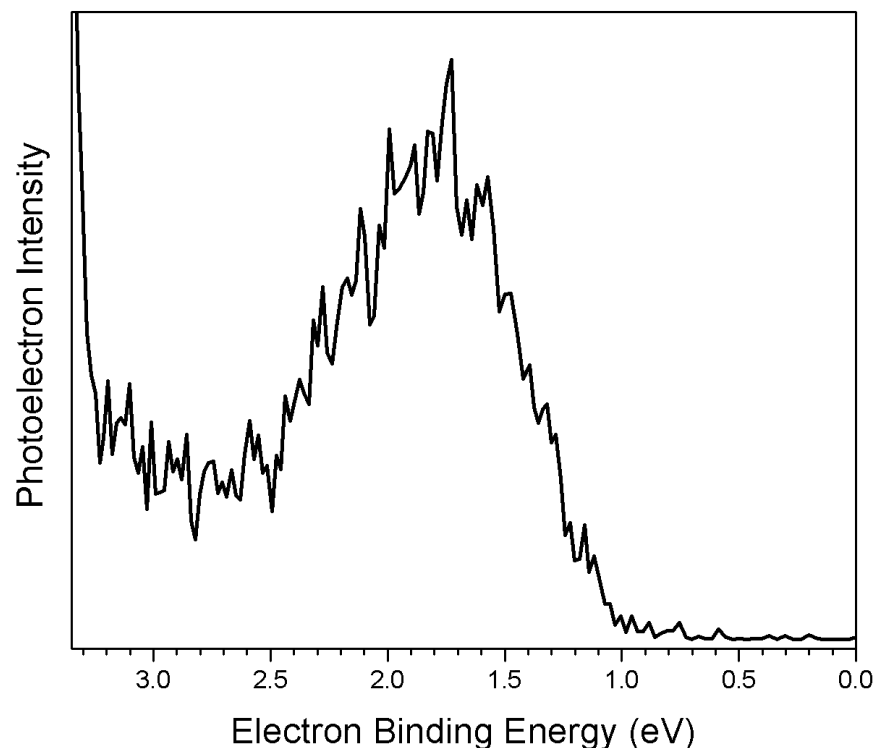


Figure 10: Photoelectron spectrum of $(AA)_2^-$ parent anion recorded with 3.49 eV photons on the pulsed photoelectron apparatus.

orbital. The nuclear wave packet then starts to relax by sliding down the repulsive surface, in competition with rapid autodetachment. Detachment of an electron at an early stage of the relaxation leads to a vibrationally excited final state of the neutral molecule. Since the detachment rate during the initial relaxation of the nuclei is faster than the nuclear motion (this is indicated by the absence of boomerang structure in the spectra,⁵² this process leads to (i) excitation of primarily low quanta of the vibration, and (ii) pronounced selectivity with respect to the modes, with those modes being primarily excited along which the anion potential has a large slope at the point of attachment. This process has been termed ‘specific’ vibrational excitation⁵³ and gives rise to the sharp vibrational peaks on the left side of the spectra in Fig. 11. A fraction of the nuclear wave packet survives till it reaches portions of the potential surface where the detachment is slow or the ion is even bound, allowing intramolecular vibrational redistribution (IVR) which ‘wipes out’ the initial mode specificity, leading to the excitation of a quasi-continuum of high-lying vibrational levels, accompanied

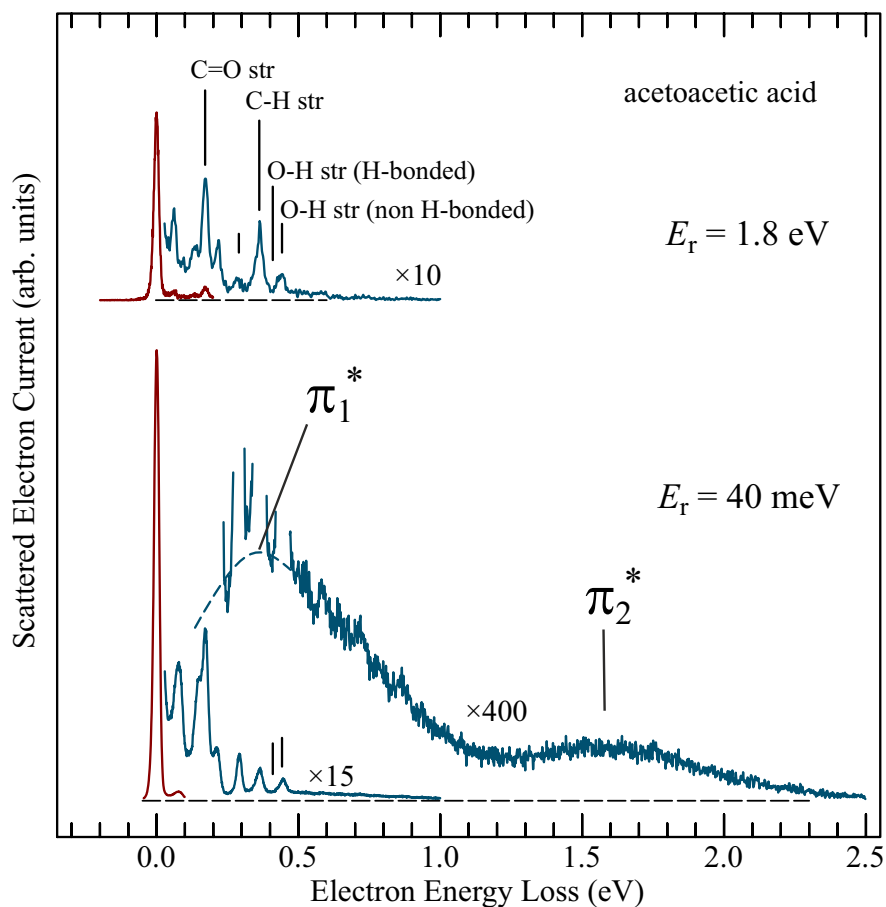


Figure 11: Spectra showing the yields of electrons with the specified residual energies E_r , plotted as a function of the energy-loss.

by detachment of a very slow electron. This process has been termed ‘unspecific’ vibrational excitation⁵³ and gives rise to the structure-less humps in the lower spectrum in Fig. 11. One could also term the detachment in the initial phases of the relaxation ‘nearly vertical’ and in the later phases, after IVR, ‘nearly horizontal’. The latter process gives rise to the capacity of the resonances to quasi-thermalize the incident electrons. The ‘unspecific’ vibrational excitation and the corresponding humps in the spectra showing the yield of very slow electrons at incident electron energies corresponding to resonances, are found in all molecules larger than diatomic.⁵³ The effect is usually weak but becomes more pronounced for large molecules. The two humps in the lower spectrum in Fig. 11 indicate a π_1^* (LUMO) resonance at 0.4 eV and a π_2^* (LUMO+1) resonance at 1.6 eV.

An interesting effect was observed in the formic acid dimer where the ‘unspecific’ vibra-

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4 tional excitation band in the yield of quasi-thermal electrons was anomalously large, $20\times$
5 larger than in the monomer.¹⁸ It was interpreted as a manifestation of a very fast inter-
6 molecular proton (or hydrogen) transfer in the resonance, which gave a competitive edge to
7 relaxation over electron detachment, thus increasing the yield of the unspecific process.
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11 It may be noted as a side remark that stretching of the O–H bond in the formic acid
12 monomer yields $\text{HCOO}^- + \text{H}$ (dissociative electron attachment, DEA), the mechanism of
13 which we studied recently.⁵⁴ The intermolecular (in the case of the formic acid dimer) and
14 intramolecular (in the case of AA) proton transfer may be viewed as a ‘frustrated’ DEA.
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19 The question posed here is whether an anomalously high intensity of the ‘unspecific’
20 vibrational excitation hump, linked to intramolecular proton transfer, is also observed in
21 AA. The spectra in Fig. 11 show that a hump is indeed observed, but to decide whether it
22 may be called anomalously high requires at least an approximate quantitative consideration.
23 For this purpose we determined the ratio of the signals integrated under the discrete narrow
24 vibrational peaks (representative for the weakly relaxed specific process) and the structure-
25 less hump (representative for the fully relaxed unspecific process).
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34 To bypass a problem given by the overlap of the unspecific and the specific energy ranges
35 below 0.5 eV, the unspecific signal is extrapolated visually to low energies as indicated by
36 the dashed curve in Fig. 11. The shape of the extrapolated section is guided by the depths
37 of the valleys between the specific vibrational peaks down to 0.25 eV and by the expected
38 shape of a Franck-Condon band below that. The integral under the smooth band, including
39 the extrapolated section, is taken as the unspecific contribution. The specific contribution
40 is the integral under the total measured signal minus the unspecific contribution. Both
41 integrals were taken between 0.035 and 1.2 eV. The result is that the integral under the
42 structure-less band is about $3\times$ *less* than the integral under the narrow structures. This
43 can be compared to the formic acid dimer where the integral under the structure-less band
44 is about $3\times$ *larger* than the integral under the narrow structures. The intensity of the
45 unspecific signal relative to the specific signal is thus $9\times$ smaller in AA when compared to
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3 formic acid dimer. The unspecific signal in AA is thus not anomalously high and cannot
4 be taken as evidence for intramolecular proton transfer. The unspecific/specific ratio in AA
5 is comparable, only marginally $2\times$ larger, than that in the formic acid monomer (without
6 intramolecular proton transfer). This would be expected for the “normal” (i.e., given by IVR
7 and not by fast intramolecular transfer) unspecific signal which increases with increasing size
8 of the molecule.
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12 The capacity of the π_1^* resonance in AA to quasi-thermalize electrons is thus not large
13 enough to provide positive evidence for an intramolecular proton transfer fast enough to
14 compete with autodetachment. The anion photoelectron spectra and the calculations show
15 beyond doubt that the equilibrium structure of the valence anion is proton-transferred, how-
16 ever. The combined PES and EELS experimental evidence thus indicates that the electron-
17 induced transfer occurs, but slower than in formic acid dimer, so that it does not efficiently
18 compete with the fast autodetachment. The reason may be that only a fraction of the target
19 AA is in the H-bonded conformation suitable for fast proton transfer.
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23 This is indicated by the computational results detailed in Table 1. The **K1** conformer,
24 which is capable to relay H1, is thermodynamically disfavored. This conformer is also ki-
25 netically difficult to access from the more populated **K3** and **K5** conformers due to a high
26 barrier **TS1** (see Fig. 4). Thus a rotation of the O2H1 bond to make a H-bonded *keto* con-
27 former is expected to be slow in comparison with electron autodetachment. In consequence,
28 the temporary anion typically autodetaches before finding a refuge on the right side of Fig.
29 5, where the anionic state is bound. Another neutral AA with a bridging hydrogen, **E1**, is
30 expected to be more common than **K1** (Table 1), but it does not support an electronically
31 bound valence anion either with or without a proton transferred from O1H to O3. Thus, the
32 slope of the anion potential on the left side of Fig. 5 is expected to be smaller than for **K1**
33 and there is no refuge where the anion would be electronically bound.
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55 The above interpretation is consistent with the discrete vibrational energy-loss spectra on
56 the left side of both traces in Fig. 11, where nearly no intensity is observed for H-bonded O–H
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3 stretch. Our computational results confirm that the O–Hs engaged in hydrogen bonds have
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5 lower frequencies (3433 cm^{-1} (**K1**) and 3304 cm^{-1} (**E1**)) than those with free O–Hs (3731
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7 cm^{-1} (**K3**), 3736 cm^{-1} (**K5**), and 3740 cm^{-1} (**E1**)). The low-frequency O–H stretches are
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9 not visible in the spectrum because **K1** and **E1** are minor constituents of the gas phase AA.
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11 Additionally, even if **E1** was present, its H-bonded O–H stretch would not be pronounced
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13 because the slope of the anion potential along the proton transfer coordinate is low at the
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15 point of electron attachment.
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18 19 20 **6 Discussion**

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22 The computational results indicate that the global minimum of the acetoacetic acid valence
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24 anion corresponds to an *ol* structure, with a proton transferred from the carboxylic to the
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26 keto group. We conclude that binding an excess electron on the π_1^* valence orbital changes
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28 the localization of the proton in acetoacetic acid, providing the anion becomes fully relaxed.
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30 The valence anion is characterized by a significant electron vertical detachment energy of
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32 2383 meV , but only a modest adiabatic electron affinity of 334 meV .
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36 Parent anions of acetoacetic acid were successfully prepared using two different source
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38 environments. The photoelectron spectra consist of a broad, featureless band with an onset
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40 at electron binding energy of ca. 1.2 eV . The intensity maximum recorded with 3.49 eV
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42 photons is at 2.30 eV , in good agreement with the computed VDE of 2.38 eV for the fully
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44 relaxed anion. The calculated adiabatic electron affinity of 0.33 eV is much smaller than the
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46 onset of the spectra indicating that the 0-0 transition has a very small intensity due to poor
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48 Franck-Condon overlap.
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51 The electron energy-loss spectra do show a broad structure-less band in the yield of quasi-
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53 thermalized electrons (40 meV) following an electron attachment into the π_1^* resonance. This
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55 band indicates a rapid IVR process in the resonance, but in contrast to the formic acid
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57 dimer case the band is not anomalously strong and thus does not provide evidence of the
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IVR process being promoted by an ultrafast proton transfer in the resonance. We explain it as a consequence of the fact that only the **K1** conformer is prearranged to relay a proton across the H-bonded bridge. However, contrary to naive chemical intuition, this conformer is present in only a small fraction in the target gas of the energy-loss experiment. Evidence for the low population comes from the calculations and from the near-absence of the H-bonded O–H stretch in the energy-loss spectra. The global minimum for the neutral formic acid dimer, on the other hand, is supported by a cyclic hydrogen bond and thus it is prearranged to transfer a proton upon an excess electron attachment.^{18,19}

The agreement between the measured (2.30 eV) and calculated (2.38 eV) values of VDE indicates that AA⁻ formed in the microplasma sources of the PES experiments is the fully relaxed valence-bound anion **VB**, with the -COOH proton transferred to the *keto* group. In these experiments the vapor of AA is expanded with a noble gas into vacuum and electrons are injected in the high pressure portion of the jet. Three-body collisions (noble gas) are needed to cool hot, short-lived autodetaching species. A typical flight time to the mass selector and to the photodetachment region is 5 - 20 microseconds, depending on the mass of the anion and on the energy at which it was accelerated. Thus, the temporary species have roughly a 10 microsecond temporal window to undergo ion-molecule reactions, form larger clusters, and cool down. The occurrence of complex chemical transformations has indeed been demonstrated in our past studies on anions of nucleic acid bases.⁵⁵ The most stable valence anions are formed upon proton transfer from nitrogen to carbon atoms. The barriers for unimolecular transformations were found to be prohibitively high and dissociative electron attachment followed by ion-molecule reactions were invoked to justify formation of these unusual species.

Formation of AA⁻ in the microplasma source does not rely on the presence of **K1** in the expanding mixture of AA and the noble gas. The formation mechanism might be more complex than a simple electron attachment to AA followed by a rapid proton transfer. There is a temporal window of several microseconds for the anionic species to rotate the

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3 O2H1 bond and reach the H-bonded bridge structure, transfer the proton, cool down in
4 course of collisions with noble gas atoms, and relax into the lowest vibrational state of the
5 global minimum of **VB**.
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9 In contrast, the EELS experiments probe a distribution of neutral molecules in the gas
10 phase. The H-bonded **K1** conformer is minor in the liquid phase²⁶ and accessing it in the gas
11 phase is obstructed by a transition state of 9.2 kcal/mol. Short-lived autodetaching anionic
12 species have a temporal window comparable with the resonance lifetime, thus femtoseconds,
13 to transfer a proton in unimolecular processes. A rotation of the O2H1 bond is too slow to
14 reach the H-bonded bridge structure suited for relaying H1 to the keto group and sheltering
15 the anion in the electronically bound region of the potential energy surface. As a result, the
16 temporary anion of AA decays primarily through a ‘nearly vertical’ autodetachment.
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28 **7 Summary**

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30 We searched for the most stable tautomers and conformers of the neutral and anionic ace-
31 toacetic acid using a potential energy surface scanning tool (PESST).²⁷ The neutral AA
32 supports minima for the *keto* and *enol* tautomers. Our CCSD(T) electronic energies cor-
33 rected for zero-point vibrations and thermal contributions to the Gibbs free energy indicate
34 that the *keto* conformers without the intramolecular hydrogen bond are more stable than
35 the conformers with the intramolecular hydrogen bond (*keto* or *enol*). The spread of Gibbs
36 free energies is, however, very narrow, less than 1 kcal/mol at standard conditions.
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46 We paid particular attention to a *keto* conformer **K1** with the intramolecular hydrogen
47 bond, and thus susceptible to intramolecular carboxylic-to-keto proton transfer. It belongs
48 to a grouping of the most stable conformers of the neutral AA and proved to be the most
49 polar, with a dipole moment of 5.4 D. **K1** is separated from other *keto* conformers by a
50 relatively high barrier exceeding 9 kcal/mol. The barriers separating other conformers are
51 much smaller and do not exceed 1.1 kcal/mol. **K1** supports a dipole-bound anion with an
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3 electron vertical detachment energy of 57 meV. The dipole-bound anion remains bound upon
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6 the intramolecular carboxylic-to-keto proton transfer.

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8 The valence anion of acetoacetic acid undergoes proton transfer upon an excess electron
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10 attachment. The distinct global minimum is supported by a short (H \cdots O distance of 1.58
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12 Å) intramolecular hydrogen bond between the hydroxy and carboxylate groups. The valence
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14 anion is not only vertically (2383 meV) but also adiabatically (334 meV) bound. The elec-
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16 tronic excitation energy from the valence- to dipole-bound state is 2186 meV. Dynamics of
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18 the anion excited to the repulsive wall of the dipole-bound state can be explored in future
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20 experiments.

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22 The photoelectron spectra from the continuous and pulsed photoelectron spectrometers
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24 were obtained with 2.54 and 3.49 eV photons, respectively. The spectrum obtained with 3.49
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26 eV photons from the pulsed apparatus is more reliable and displays a broad, featureless band
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28 with an onset at electron binding energy of ca. 1.2 eV and the intensity maximum at 2.30 eV.
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30 The reported spectra do not provide evidence for the dipole-bound anion. The photoelectron
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32 spectrum of the parent dimer anion of acetoacetic acid shows a vertical detachment energy
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34 at 1.7 eV, which is considerably lower than that of the monomer, this indicating that the
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36 additional monomer is not simply solvating the monomeric anion.

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38 The electron energy-loss spectrum of acetoacetic acid displays narrow vibrational peaks
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40 representative of ‘nearly vertical’ electron detachments and structure-less humps represen-
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42 tative of ‘nearly horizontal’ detachments. The ratio of signals integrated under the discrete
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44 vibrational peaks and the structure-less hump does not provide evidence for an intramolec-
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46 ular proton transfer fast enough to compete with autodetachment. The reason is that the
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48 conformer capable to relay a proton, i.e., H-bonded **K1**, is thermodynamically disfavored
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50 and kinetically difficult to access from the more populated but non-H-bonded *keto* conform-
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52 ers. This is confirmed by the near-absence of the H-bonded O–H stretch in the energy-loss
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54 spectra.
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57 Our results expose the importance of time scale and local environment in electron-driven
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4 proton transfer. The microplasma sources of anions in the PES experiments offer a temporal
5 window of several microseconds to reach a H-bonded bridge structure, transfer the proton,
6 cool down the temporary anion in course of collisions with noble gas atoms, and settle in
7 the lowest vibrational state of the anionic global minimum. EELS experiments, on the other
8 hand, probe a distribution of neutral molecules in the gas phase. Short-lived autodetach-
9 ing anionic species have a temporal window comparable with the resonance lifetime, thus
10 femtoseconds, to transfer a proton in unimolecular processes. This takes place in the formic
11 acid dimer, because its global minimum is supported by a cyclic hydrogen bond and thus
12 prearranged to relay a proton.^{18,19} A H-bonded bridge is not available in the most stable
13 conformers of neutral acetoacetic acid and the temporary anion decays primarily through a
14 ‘nearly vertical’ autodetachment.
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26 Before closing we comment on the electron-driven proton transfer scheme in acetoacetic
27 acid illustrated in the bottom of Fig. 1. The right hand side of it is true; the fully relaxed
28 valence anion has an *ol* structure, is adiabatically bound, and displays a significant vertical
29 detachment energy of 2.3 eV. The left and central parts are problematic. The neutral **K1**
30 would indeed facilitate proton transfer due to the intramolecular H-bond bridge. Unfortu-
31 nately, this conformer is not dominant in the gas phase at standard conditions. The spread of
32 Gibbs free energies of the *keto* and *enol* tautomers and their conformers is very narrow, less
33 than 1 kcal/mol at standard conditions, and the determination of major constituents of the
34 gas phase AA would be challenging without a combinatorial/computational tool PESST.²⁷
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46 Supporting Information Available

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49 The construction of the linear synchronous path connecting $G_{M_{abs}^-}$ with $G_{M_{VB}^-}$. The abso-
50 lute electronic energies and zero-point vibration energy of the **K1** conformer. The relative
51 energies of keto conformers and transition states separating them. The dipole moments of
52 *keto* conformers. The relative energies of *enol* conformers. Incremental electronic binding
53 energies of the dipole-bound state at various geometries. The contribution from the Amber
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3 force field to the energies of **K1**, **K3**, and **K5**. Energetics of valence-bound anions: the ab-
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force field to the energies of **K1**, **K3**, and **K5**. Energetics of valence-bound anions: the absolute electronic energy and zero-point vibration energy of **VB**, relative electronic energies of other conformers, the VDEs and AEAs. The Cartesian coordinates of the most relevant neutral and anionic species.

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Acknowledgement

This work was conducted within the framework of the COST Action CM1301 (CELINA). Z.G.K. was supported by the fellowship from the University of Botswana (UB). This research used resources of the National Energy Research Scientific Computing Center, which is supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231. This material is based (in part) on experimental work supported by the (US) National Science Foundation under Grant Number CHE-1360692 (KHB). This research is a part of the project No. 200020-144367/1 of the Swiss National Science Foundation.

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Graphical TOC Entry

